

2021 USDA EXPLANATORY NOTES – GOOD ACCOUNTING OBLIGATION IN GOVERNMENT (GAO-IG) ACT

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GAO-IG Act

SUMMARY

The GAO-IG Act was enacted on January 3, 2019 and requires that Federal departments include information pertaining to audit recommendations from the Government Accountability Office (GAO) and the Office of Inspector General (OIG) as part of the annual Congressional budget justification materials. The following tables provide a listing of all open GAO and OIG audit recommendations for the Department of Agriculture and its agencies and staff offices.

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OIG Recommendations

Table GAO-IG Act-1. Open OIG Audit Recommendations

<u>Agency/Staff Office</u>	<u>Audit Title</u>	<u>Audit Number</u>	<u>Date of Publication</u>	<u>Recommendation</u>	<u>Status</u>
AMS	AMS COMMODITY PURCHASES FOR INTERNATIONAL FOOD ASSISTANCE PROGRAMS	03601-0002-41	9/26/2018	4 Determine the number of completed contracts that are over 6 months past their physical completion dates and perform closeout procedures.	Open
AMS	CONTROLS OVER INSPECTION OF EXPORTED GRAIN	30601-0001-21	12/13/2018	2 Based on the risk assessment, develop and implement a plan of action to timely and systematically automate manual FGIS inspection cycle processes to enhance the program's efficiency.	Open
APHIS	TEXAS BOLL WEEVIL ERADICATION FOUNDATION COOPERATIVE AGREEMENT	33099-0001-23	5/31/2018	1 Provide guidance to the ADO and ADODR on how to perform spot checks on financial records, as necessary, to ensure efficient and effective operations for the TBWEF cooperative agreement	Open
APHIS	TEXAS BOLL WEEVIL ERADICATION FOUNDATION COOPERATIVE AGREEMENT	33099-0001-23	5/31/2018	2 Adjust guidance in the Agreements Management Manual to more clearly define the frequency APHIS expects its RAB to conduct compliance reviews of cooperative agreements.	Open
APHIS	TEXAS BOLL WEEVIL ERADICATION FOUNDATION COOPERATIVE AGREEMENT	33099-0001-23	5/31/2018	3 Collect the \$1,472 from TBWEF in FY 2015 Federal funding that TBWEF used on expenses incurred in FY 2014.	Open

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APHIS	TEXAS BOLL WEEVIL ERADICATION FOUNDATION COOPERATIVE AGREEMENT	33099-0001-23	5/31/2018	4	Clarify the terms and conditions in all future cooperative agreements with TBWEF, including the FY 2019 cooperative agreement, to specify that funds may only be used for expenses incurred within the period of performance stated in the agreement.	Open
APHIS	TEXAS BOLL WEEVIL ERADICATION FOUNDATION COOPERATIVE AGREEMENT	33099-0001-23	5/31/2018	5	Provide guidance to TBWEF officials to ensure that Federal funds are used in the correct period of performance.	Open
APHIS	TEXAS BOLL WEEVIL ERADICATION FOUNDATION COOPERATIVE AGREEMENT	33099-0001-23	5/31/2018	6	Develop and implement written procedures to ensure all future cooperative agreements include terms and conditions for a conflict of interest policy.	Open
APHIS	APHIS OVERSIGHT OF RESEARCH FACILITIES	33601-0001-41	12/9/2014	15	Provide research facilities with guidance on how to prepare annual reports accurately and require the facilities to submit site-specific annual report data.	Open
APHIS	CONTROLS OVER APHIS' INTRODUCTION OF GENETICALLY ENGINEERED ORGANISMS	50601-0001-32	9/22/2015	2	Develop and implement policies which require APHIS officials to analyze reports to (1) ensure that all release sites are included, (2) identify discrepancies, and (3) require immediate resolution.	Open
APHIS	CONTROLS OVER APHIS' INTRODUCTION OF GENETICALLY ENGINEERED ORGANISMS	50601-0001-32	9/22/2015	8	Incorporate compliance reporting and tracking of all incidents in the information system being developed.	Open
APHIS	CONTROLS OVER APHIS ISSUANCE OF GENETICALLY E NGINEERED ORGANISMS RELEASE PERMITS	50601-0008-TE	12/8/2005	01A	. Revise and consolidate policies, procedures, and regulatory requirements for GE field releases.	Open

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APHIS	CONTROLS OVER APHIS ISSUANCE OF GENETICALLY ENGINEERED ORGANISMS RELEASE PERMITS	50601-0008-TE	12/8/2005	02A	. Revise and clarify policies and regulations regarding the use of metal shipping containers.	Open
APHIS	CONTROLS OVER APHIS ISSUANCE OF GENETICALLY ENGINEERED ORGANISMS RELEASE PERMITS	50601-0008-TE	12/8/2005	03A	. Update regulations to incorporate the provisions of the Plant Protection Act of 2000.	Open
APHIS	USDA AGENCY ACTIVITIES FOR AGROTERRORISM PREVENTION, DETECTION, AND RESPONSE	50701-0001-21	9/12/2018	1	Revise the agency's current process to respond to information requests to include a step to compile completed agroterrorism preparedness actions throughout the year and planned activities for future periods.	Open
APHIS	USDA AGENCY ACTIVITIES FOR AGROTERRORISM PREVENTION, DETECTION, AND RESPONSE	50701-0001-21	9/12/2018	2	Formally document the agency's current process to respond to information requests and revise it to include a step to compile completed agroterrorism preparedness actions throughout the year and planned activities for future periods.	Open
APHIS	USDA AGENCY ACTIVITIES FOR AGROTERRORISM PREVENTION, DETECTION, AND RESPONSE	50701-0001-21	9/12/2018	4	Identify actions the agency performs that can serve as vulnerability assessments for HSPD-9 compliance. Include these actions in the annual report to OHS on agroterrorism preparedness.	Open
APHIS	USDA AGENCY ACTIVITIES FOR AGROTERRORISM PREVENTION, DETECTION, AND RESPONSE	50701-0001-21	9/12/2018	5	Revise processes for products considered to be vulnerability assessments to (a) update them every 2 years or document the determination why an update was not needed, and (b) regularly assess and update the status of efforts to mitigate vulnerabilities and to address previous recommendations.	Open

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APHIS	USDA AGENCY ACTIVITIES FOR AGROTERRORISM PREVENTION, DETECTION, AND RESPONSE	50701-0001-21	9/12/2018	6 Identify actions the agency performs that can serve as vulnerability assessments for HSPD-9 compliance. Include these actions in the annual report to OHS on agroterrorism preparedness.	Open
APHIS	USDA AGENCY ACTIVITIES FOR AGROTERRORISM PREVENTION, DETECTION, AND RESPONSE	50701-0001-21	9/12/2018	7 Revise processes for products considered to be vulnerability assessments to (a) update them every 2 years or document the determination why an update was not needed, and (b) regularly assess and update the status of efforts to mitigate vulnerabilities and implement previously recommended corrective actions.	Open
APHIS	USDA AGENCY ACTIVITIES FOR AGROTERRORISM PREVENTION, DETECTION, AND RESPONSE	50701-0001-21	9/12/2018	9 PPQ needs to develop and implement a process to track and annually report on the implementation status of corrective actions based on actual incident responses or exercises.	Open
APHIS	USDA AGENCY ACTIVITIES FOR AGROTERRORISM PREVENTION, DETECTION, AND RESPONSE	50701-0001-21	9/12/2018	10 PPQ needs to develop and implement a process to create AARs for tabletop exercises.	Open
APHIS	USDA AGENCY ACTIVITIES FOR AGROTERRORISM PREVENTION, DETECTION, AND RESPONSE	50701-0001-21	9/12/2018	11 Develop and implement a process to obtain AARs from exercises ARS officials participated in and review the AARs to identify deficiencies or issues experienced in the exercises that ARS can help address through future research. Include a step in the process to assess annually if ARS has initiated research to help address deficiencies or issues experienced in previous exercises.	Open

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ARS	CONTROLS OVER PLANT VARIETY PROTECTION AND GE RMPLASM STORAGE	50601-0006-TE	3/4/2004	05A	. Develop procedures requiring periodic physical inventory of accessions.	Open
ARS	CONTROLS OVER PLANT VARIETY PROTECTION AND GE RMPLASM STORAGE	50601-0006-TE	3/4/2004	06A	. Develop procedures specifying proper disposal methods for germplasm, including GEOs being refused entry into or removed from NPGS facilities.	Open
ARS	CONTROLS OVER PLANT VARIETY PROTECTION AND GE RMPLASM STORAGE	50601-0006-TE	3/4/2004	09A	. Continue security renovations at NPGS facilities based on established priorities and available funding and direct the facility managers to immediately take reasonable measures, such as locking doors, to strengthen physical security of the facilities.	Open
ARS	FOLLOWUP REVIEW ON THE SECURITY OF BIOHAZARDO US MATERIAL AT USDA LABORATORIES	50601-0010-AT	3/8/2004	02A	. Agencies need to provide more specific guidance to BSL-3 laboratories on how to interpret and enforce the biosecurity measures listed in the BSL-3 manual. For example: <ul style="list-style-type: none"> • Site-specific guidance for inventory recordkeeping. • The type and frequency of internal reviews to be conducted and by whom. • Site-specific guidance for cybersecurity issues. 	Open
CCC	COMMODITY CREDIT CORPORATION'S FINANCIAL STATEMENTS FOR FISCAL YEAR 2018	06403-0001-11	11/9/2018	1	Implement effective UDO monitoring controls at the program level, whereby the responsibility for assessing the accuracy and validity of open obligations resides at the program level, and the accounting execution, if necessary, as a result of the programmatic monitoring resides with the CCC accountants.	Open

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CCC	COMMODITY CREDIT CORPORATION'S FINANCIAL STATEMENTS FOR FISCAL YEAR 2018	06403-0001-11	11/9/2018	2 Provide necessary training to the County field offices' personnel over the requirements of OMB A-11 for recording obligations, and, where necessary, ensure program handbooks are up to date regarding execution and related accounting, to ensure consistent understanding and recording of obligations in accordance with OMB A-11 across all field offices.	Open
CCC	COMMODITY CREDIT CORPORATION'S FINANCIAL STATEMENTS FOR FISCAL YEAR 2018	06403-0001-11	11/9/2018	3 Implement effective automated and/or manual controls to evaluate the relationship between a CRP annual rental contract and CRP cost share contract; and develop and implement data analytic routines and management review controls related to program UDO populations to identify and correct for abnormalities in the data.	Open
CCC	COMMODITY CREDIT CORPORATION'S FINANCIAL STATEMENTS FOR FISCAL YEAR 2018	06403-0001-11	11/9/2018	4 Strengthen management controls related to the annual ARC/PLC UDO calculation to ensure that it is performed at a level of precision to include relevant and accurate data elements, such as enrollments and crop prices that reflect the documentation submitted by the producer and approved by management.	Open
CCC	COMMODITY CREDIT CORPORATION'S FINANCIAL STATEMENTS FOR FISCAL YEAR 2018	06403-0001-11	11/9/2018	5 Implement processes, procedures, and controls to ensure accurate recognition of adjustments to delivered orders are input into the accounting systems and perform periodic reviews of the accounting events to validate the results of recorded transactions.	Open

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CCC	COMMODITY CREDIT CORPORATION'S FINANCIAL STATEMENTS FOR FISCAL YEAR 2018	06403-0001-11	11/9/2018	6 Implement effective automated budgetary funds controls within its accounting systems to ensure that a funds control violation does not occur.	Open
CCC	COMMODITY CREDIT CORPORATION'S FINANCIAL STATEMENTS FOR FISCAL YEAR 2018	06403-0001-11	11/9/2018	7 Implement effective internal controls to review and reconcile the general ledger account inter-relationships, between borrowing authority and other budgetary accounts. In addition, we recommend CCC record borrowing authority at the appropriate program level to prevent abnormal balances, which assist in meaningful account review and reconciliation.	Open
CCC	COMMODITY CREDIT CORPORATION'S FINANCIAL STATEMENTS FOR FISCAL YEAR 2018	06403-0001-11	11/9/2018	8 We provided detailed recommendations in separate findings to management. In summary, we recommend that CCC, strengthen the management review controls to validate the completeness and accuracy of the data being used in the grant calculator (estimate methodology). This process should be refined to include a more thorough review and analysis using other source documentation utilized by CCC's partner agency.	Open
CCC	COMMODITY CREDIT CORPORATION'S FINANCIAL STATEMENTS FOR FISCAL YEAR 2018	06403-0001-11	11/9/2018	9 We recommend that management, through coordination with other necessary parties, complete the evaluation related to the ADA matters, communicate to necessary parties (Congress, President, and Comptroller General) if it is determined that a reportable violation occurred, and	Open

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implement policies and procedures to prevent future violations.

CCC	COMMODITY CREDIT CORPORATION'S FINANCIAL STATEMENTS FOR FISCAL YEAR 2018	06403-0001-11	11/9/2018	10	We recommend that management implement the recommendations presented in Exhibit I, to resolve the instances of noncompliance with FFMIA.	Open
DM	REVIEW OF EXPENDITURES MADE BY THE ASSISTANT SECRETARY FOR CIVIL RIGHTS	50099-0001-12	9/14/2015	1	OASCR needs to train its staff, at least annually, on Federal legal authorities and Departmental polices and procedures regarding proper practices for obligating funds.	Open
FAS	PRIVATE VOLUNTARY ORGANIZATION GRANT FUND ACCOUNTABILITY	07601-0001-22	3/31/2014	1	Complete a risk assessment of the Food for Progress Program administrative and oversight activities, in accordance with OMB Circular A-123, to (1) identify vulnerable program areas, and develop and implement controls where identified weaknesses exist; (2) periodically assess the effectiveness of corrective actions taken to address program weaknesses; and (3) develop and implement performance measures for FAD and the MES in overseeing and delivering the program.	Open

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FAS	PRIVATE VOLUNTARY ORGANIZATION GRANT FUND ACCOUNTABILITY	07601-0001-22	3/31/2014	2	Designate a senior management official with sufficient authority to ensure all current and prior recommendations are fully addressed, that includes ensuring adequate controls for timely closure of Food for Progress Program agreements, PVO proper accounting, PVO timely reporting, and PVO agreement monitoring. Evaluate the OCBD staffing to determine if additional personnel for monitoring and closeouts of the Food for Progress Program are needed, and implement a plan to increase or reorganize staff to prioritize associated risks and properly align staff to meet program needs.	Open
FAS	PRIVATE VOLUNTARY ORGANIZATION GRANT FUND ACCOUNTABILITY	07601-0001-22	3/31/2014	6	Evaluate the monitoring process to better identify PVOs for compliance reviews and increase onsite reviews, to include reviewing financial activities of active Food for Progress Program agreements. Ensure during compliance reviews that PVOs have separate bank accounts when required.	Open
FAS	PRIVATE VOLUNTARY ORGANIZATION GRANT FUND ACCOUNTABILITY	07601-0001-22	3/31/2014	10	Incorporate closeout dates into the agreement language and institute monetary penalties when PVOs do not timely respond to closure requests, including enforcement actions detailed in 7 CFR Part 3019.62.	Open
FAS	CONTROLS OVER THE EXPORT CREDIT GUARANTEE PROGRAM	07601-0001-41	7/13/2018	1	Complete the new compliance review guide.	Open

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FAS	CONTROLS OVER THE EXPORT CREDIT GUARANTEE PROGRAM	07601-0001-41	7/13/2018	2	Require the Compliance Division to resume its reviews of the Export Credit Guarantee Program once the new review guide is complete.	Open
FAS	FAS MONITORING OF THE ADMINISTRATION'S TRADE AGREEMENT INITIATIVES	07601-0002-23	12/5/2016	1	Develop and implement written procedures to ensure FAS specifically includes the Administration's initiatives in its Strategic Plan.	Open
FAS	FAS MONITORING OF THE ADMINISTRATION'S TRADE AGREEMENT INITIATIVES	07601-0002-23	12/5/2016	2	Develop and implement written procedures for generating TAP. These procedures, at a minimum, should include actions to ensure FAS updates TAP on a consistent basis.	Open
FAS	FAS MONITORING OF THE ADMINISTRATION'S TRADE AGREEMENT INITIATIVES	07601-0002-23	12/5/2016	3	Develop and implement written review procedures to determine the effectiveness of the actions taken by FAS in TAP.	Open
FAS	FAS MONITORING OF THE ADMINISTRATION'S TRADE AGREEMENT INITIATIVES	07601-0002-23	12/5/2016	4	Develop and implement written procedures that set forth requirements for FAS officials to monitor and coordinate the development and maintenance of the various documents used for tracking trade barriers. These procedures should include instructions for updating these documents when the status of each barrier changes, including when a barrier is no longer considered for inclusion in the negotiations.	Open
FAS	FAS MONITORING OF THE ADMINISTRATION'S TRADE AGREEMENT INITIATIVES	07601-0002-23	12/5/2016	5	Consult with the USDA Performance Improvement Officer to identify the actions needed for FAS to assess its performance while working with USTR during the trade negotiation process.	Open

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FAS	FAS MONITORING OF THE ADMINISTRATION'S TRADE AGREEMENT INITIATIVES	07601-0002-23	12/5/2016	6	Develop and implement a written plan for reviewing and evaluating FAS? performance during the trade negotiation process. This plan should include a review of FAS? actions to promote the Department's goals and interests and the administrations trade initiatives in FAS? coordination with USTR on a regular basis throughout the negotiation process.	Open
FAS	EFFECTIVENESS OF THE DEPARTMENT'S RECENT EFFORTS TO ENHANCE AGRICULTURAL TRADE	50601-0001-22	3/28/2013	1	Refine FAS strategic goal to contextualize changes in billions of dollars of U.S. agricultural exports as part of U.S. market share in the global market place.	Open
FAS	EFFECTIVENESS OF THE DEPARTMENT'S RECENT EFFORTS TO ENHANCE AGRICULTURAL TRADE	50601-0001-22	3/28/2013	3	Incorporate clear, outcome-based performance measures into the 2013 Country Strategy Statements that align with the FAS agency wide goals and objectives.	Open
FAS	EFFECTIVENESS OF THE DEPARTMENT'S RECENT EFFORTS TO ENHANCE AGRICULTURAL TRADE	50601-0001-22	3/28/2013	4	Update the Global Market Strategy to include clear, outcome-based goals and measures that align with the FAS and USDA strategic goals.	Open
FAS	EFFECTIVENESS OF THE DEPARTMENT'S RECENT EFFORTS TO ENHANCE AGRICULTURAL TRADE	50601-0001-22	3/28/2013	5	Coordinate with the Department to update the performance measures related to trade policy and trade promotion in the PAR and Annual Performance Plan to better capture overall agency effectiveness and achievements and include goals related to U.S. market share.	Open

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FAS	SECTION 632(A) TRANSFER OF FUNDS FROM USAID TO USDA - AFGHANISTAN	50601-0002-16	2/6/2014	1 Forego accepting further Section 632(a) funds until the agency has fully implemented a formal monitoring and evaluation process and a grants management structure, including finalizing agency regulations and directives.	Open
FAS	SECTION 632(A) TRANSFER OF FUNDS FROM USAID TO USDA - AFGHANISTAN	50601-0002-16	2/6/2014	2 Implement the recommendations for the processing of Section 632 funds and agreements outlined in Acuity's review.	Open
FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	1 Direct the State agency to strengthen and enforce State administrative review guidance. This could include: (1) suggest as a best practice, expanding the scope of reviews to include additional sites when issues are noted, (2) ensuring the use of proper procurement procedures, and (3) ensuring claim reimbursement levels are consistent with the type of sponsor/site.	Open
FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	3 Direct the State agency to strengthen procedures to ensure corrective actions adequately address the sponsors' noncompliance, to include assurance that corrective actions are effective at all sites.	Open
FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	4 Direct the State agency to provide State administrative review staff with guidance and training on reviewing sponsors' SFSP fiscal and administrative requirements as well as other cost requirements for allowable costs.	Open

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FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	5	Direct the State agency to work with FNS to confirm the OIG-identified questionable costs (\$18,394) and to recover any disallowed costs from the SFSP sponsors.	Open
FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	6	Direct the State agency to confirm the OIG-identified unsupported costs (\$48,157) and to recover any disallowed costs from the SFSP sponsors.	Open
FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	7	Direct the State agency to confirm the OIG-identified questionable reimbursements (\$630) and to recover any disallowed reimbursements from the SFSP sponsors.	Open
FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	8	Direct the State agency to confirm the OIG-identified questionable meal reimbursements (\$2,911) and recover any disallowed reimbursements from the SFSP sponsors.	Open
FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	9	Direct the State agency to work with FNS to take action to correct Sponsor E's status and to recover any disallowed reimbursements (totaling \$26,037) from the SFSP sponsor.	Open
FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	10	Direct the State agency to review Sponsor E's use of informal procurement procedures and implement corrective actions to ensure the sponsor operates in accordance with required procurement procedures.	Open

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FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	11 Direct the State agency to ensure compliance with program regulations to disallow meals served to children at any vended sites in excess of their approved daily site caps and develop a method to better estimate an acceptable approved daily site cap.	Open
FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	12 Direct the State agency to ensure identified sponsors provide sufficient SFSP training to site staff of program requirements outlined in 7 CFR 225.15(d)(1). Training should address site staff responsibilities to (1) ensure that meal counts are accurate and that separate meal counts are maintained for all meal types served, (2) establish controls to prevent children from leaving the sites with provided meals, and (3) properly document delivery times and food temperatures on delivery receipts.	Open
FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	14 Direct the State agency to monitor and assess the completion and quality of the training provided by the identified sponsors as described in Recommendations 12 and 13.	Open
FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	15 Direct the State agency to recover SFSP funds in the amount of \$260 for questionable reimbursements for overclaimed meals.	Open
FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	16 Direct the State agency to notify Sponsor A that a State agency system-generated media release is available for use that includes the required USDA nondiscrimination policy.	Open

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FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	17	Direct the State agency to provide technical assistance to the sponsor on the collection of racial and ethnic data in site monitoring reviews.	Open
FNS	NEW YORK'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-23	9/24/2018	18	Direct the State agency to monitor the identified sponsor to ensure that required racial and ethnic data is collected.	Open
FNS	CALIFORNIA'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-41	11/5/2018	1	Direct the State agency to develop and implement procedures to assess the adequacy of SFSP applicants' financial management capabilities to separately track SFSP funds and support SFSP costs during the application process, and ensure sponsors demonstrate financial and management capabilities for program operations.	Open
FNS	CALIFORNIA'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-41	11/5/2018	9	Direct the State agency to carefully evaluate the eligibility of the two sponsors that lacked adequate financial management capabilities (Sponsors B and E) if they choose to return to the program, and document the basis of its determinations to ensure the weaknesses identified by OIG have been corrected.	Open
FNS	CALIFORNIA'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-41	11/5/2018	10	Direct the State agency to calculate and recover any unallowable reimbursements paid to Sponsors B and C resulting from their site misclassifications.	Open
FNS	CALIFORNIA'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-41	11/5/2018	15	Direct the State agency to confirm the sponsor questionable costs totaling \$214,441 identified by OIG, and	Open

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				recover any disallowed costs from the SFSP sponsors.	
FNS	CALIFORNIA'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-41	11/5/2018	16 Direct the State agency to confirm the sponsor unsupported costs totaling \$100,536 identified by OIG, and recover any disallowed costs from the SFSP sponsors.	Open
FNS	CALIFORNIA'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-41	11/5/2018	17 Direct the State agency to confirm the sponsor questionable meal claims totaling \$18,923 identified by OIG, and recover any disallowed SFSP reimbursements from the sponsors.	Open
FNS	CALIFORNIA'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-41	11/5/2018	18 Direct the State agency to confirm the sponsor unsupported meal claims totaling \$42,860 identified by OIG, and recover any disallowed SFSP reimbursements from the sponsors.	Open
FNS	CALIFORNIA'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-41	11/5/2018	20 Direct the State agency to confirm each of the OIG-identified sponsor noncompliance issues and ensure identified sponsors correct the deficiencies.	Open
FNS	CALIFORNIA'S CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27004-0001-41	11/5/2018	25 Direct the State agency to confirm whether the sponsors claimed any of the OIG-identified questionable, non-reimbursable meals counted by the sites. If the sponsor claimed these meals, direct the State agency to recover the \$430 in questionable meal claims.	Open

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FNS	SFSP- Texas Sponsor Audit Interim Report - 27004-0003-21(1)	27004-0003-21(1)	9/7/2017	1 Ensure that the Texas Department of Agriculture (TDA) reviews records supporting the \$110,670 paid in program year 2016 to the two sponsors, and recover funds paid to the two sponsors for costs that TDA determines are not supported and allowable.	Open
FNS	FNS' CONTROLS FOR AUTHORIZING SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM RETAILERS	27601-0001-31	7/31/2013	9 Revise regulations and policy to permanently disqualify retail store owners at all authorized retail locations operated by that owner(s) if the owner(s) does not meet the criteria to receive a trafficking civil money penalty in lieu of permanent disqualification.	Open
FNS	FNS' CONTROLS FOR AUTHORIZING SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM RETAILERS	27601-0001-31	7/31/2013	10 After regulations are revised, notify the 586 store owners that in the future, any trafficking violation will require the store owner to qualify for and pay an in lieu of permanent disqualification penalty or be subject to permanent disqualification at all store locations currently authorized for that owner.	Open
FNS	FNS' CONTROLS FOR AUTHORIZING SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM RETAILERS	27601-0001-31	7/31/2013	11 Initiate the regulatory changes necessary to ensure owners that have been permanently disqualified for trafficking are not granted authorization as a SNAP retailer at new locations.	Open

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FNS	FNS QUALITY CONTROL PROCESS FOR SNAP ERROR RATE	27601-0002-41	9/23/2015	1 Perform an analysis of the cost/benefit of changing the quality control (QC) process from a two-tier process that relies on the States to make error determinations to a one-tier process where only FNS or an unaffiliated contracted third party reviews cases for errors. If determined cost beneficial, establish a timeline for implementing the change.	Open
FNS	FNS QUALITY CONTROL PROCESS FOR SNAP ERROR RATE	27601-0002-41	9/23/2015	11 Amend FNS QC policies and procedures (including FNS Handbook 310) to require the error tolerance threshold not be applied when calculating the SNAP recipient's reportable error amount until all variances (including those permitted by SNAP policy) have been properly identified and accounted for during the QC process.	Open
FNS	NEW MEXICO'S COMPLIANCE WITH SNAP CERTIFICATION OF ELIGIBLE HOUSEHOLDS REQUIREMENTS	27601-0003-10	9/27/2016	2 Require New Mexico HSD verify enrollment and/or exemption, as applicable, for the four student cases identified, and if it is determined the students were ineligible, require HSD to determine if payments were improper and warrant establishment of a claim.	Open
FNS	NEW MEXICO'S COMPLIANCE WITH SNAP CERTIFICATION OF ELIGIBLE HOUSEHOLDS REQUIREMENTS	27601-0003-10	9/27/2016	5 Require HSD to review the four cases identified to determine if payments were improper and warrant establishment of a claim.	Open

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FNS	NEW MEXICO'S COMPLIANCE WITH SNAP CERTIFICATION OF ELIGIBLE HOUSEHOLDS REQUIREMENTS	27601-0003-10	9/27/2016	9 The five cases identified above be reconciled with other State/Territory eDRS queries performed during the coverage gap period to identify disqualified individuals who may have attempted to receive benefits in another State/Territory.	Open
FNS	NEW MEXICO'S COMPLIANCE WITH SNAP CERTIFICATION OF ELIGIBLE HOUSEHOLDS REQUIREMENTS	27601-0003-10	9/27/2016	11 Require New Mexico HSD review the two identified cases and verify income to determine if payments were improper and warrant establishment of a claim.	Open
FNS	NEW MEXICO'S COMPLIANCE WITH SNAP CERTIFICATION OF ELIGIBLE HOUSEHOLDS REQUIREMENTS	27601-0003-10	9/27/2016	13 Require New Mexico HSD review the identified case to determine if payments were improper and warrant establishment of a claim.	Open
FNS	NEW MEXICO'S COMPLIANCE WITH SNAP CERTIFICATION OF ELIGIBLE HOUSEHOLDS REQUIREMENTS	27601-0003-10	9/27/2016	14 Require HSD to review the case identified to determine if payments were improper and warrant establishment of a claim.	Open
FNS	NEW MEXICO'S COMPLIANCE WITH SNAP CERTIFICATION OF ELIGIBLE HOUSEHOLDS REQUIREMENTS	27601-0003-10	9/27/2016	16 Require HSD review the two cases identified to determine if payments were improper and warrant establishment of a claim.	Open
FNS	NEW MEXICO'S COMPLIANCE WITH SNAP CERTIFICATION OF ELIGIBLE HOUSEHOLDS REQUIREMENTS	27601-0003-10	9/27/2016	18 Require HSD review the case identified to determine if payments were improper and warrant establishment of a claim.	Open

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FNS	FNS CONTROLS OVER SUMMER FOOD SERVICE PROGRAM	27601-0004-41	3/27/2018	3 Obtain a formal written legal opinion from the Office of the General Counsel as to whether FNS has the legal authority to create nationwide waivers of SFSP regulations through policy memoranda in the absence of a State agency or sponsor written request, and without complying with the waiver application and documentation requirements set forth in Section 12(l) of the Richard B. Russell National School Lunch Act (NSLA). This formal written legal opinion should clarify the authority FNS has and the process it must follow to waive regulations, and the documentation that the agency should maintain to support waivers made.	Open
FNS	GEORGIA'S COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES (7 CFR, PART 272)	27601-0008-10	6/14/2017	4 Require GA DFCS to review the 2 identified cases who potentially received benefits while incarcerated for over 30 days and determine if payments were improper and warrant establishment of a claim.	Open
FNS	PENNSYLVANIA'S COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES (7 CFR,	27601-0010-10	8/9/2017	1 Augment FNS CRD discrimination complaint processing procedures to address processing of long and complex complaints to ensure they are submitted to FNS CRD in accordance with required processing timeframes.	Open
FNS	PENNSYLVANIA'S COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES (7 CFR,	27601-0010-10	8/9/2017	2 Require PA DHS to review the three identified cases that received benefits while incarcerated for over 30 days and determine if payments were	Open

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					improper and warrant establishment of a claim.	
FNS	SOUTH CAROLINA'S COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES (7 CFR, PART 272)	27601-0011-10	9/14/2017	1	Require FNS CRD administration perform a review of the current discrimination complaint process, including workloads and processing times, to identify process improvements. Based on the results, incorporate those improvements into the revised FNS Instruction 113-1 manual that is currently being drafted, to ensure future complaints are processed timely.	Open
FNS	SOUTH CAROLINA'S COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES (7 CFR, PART 272)	27601-0011-10	9/14/2017	4	Require South Carolina Department of Social Services (SC DSS) to review the 10 cases where individuals may have been incarcerated for over 30 days and included in a Supplemental Nutrition Assistance Program (SNAP) household to determine if payments were improper and warrant the establishment of a claim.	Open

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FNS	SOUTH CAROLINA'S COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES (7 CFR, PART 272)	27601-0011-10	9/14/2017	5 Require SC DSS to implement a functioning Prisoner Verification System that satisfies the requirements under 7 CFR §272.13, including: Monitoring and preventing individuals incarcerated for over 30 days from being included in a SNAP household; providing for the proper use of match data; making a comparison of match data in the case file to the PVS match results data for adult household members at the time of application and recertification.	Open
FNS	SOUTH CAROLINA'S COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES (7 CFR, PART 272)	27601-0011-10	9/14/2017	7 Require SC DSS to review the 7 cases identified where an individual who may have been deceased was issued benefits to determine if payments were improper and warrant the establishment of a claim.	Open
FNS	SOUTH CAROLINA'S COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES (7 CFR, PART 272)	27601-0011-10	9/14/2017	8 Require SC DSS to properly implement a Deceased Matching System that satisfies the requirements under 7 CFR §272.14, including: verifying and ensuring that benefits are not issued to individuals who are deceased; providing for the proper use of match data; making a comparison of match data in the case file to the DMS match results data for each household member at the time of application and at least once a year.	Open

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FNS	WASHINGTON'S COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES (7 CFR, PART 272)	27601-0012-10	9/28/2017	6	Require WA DSHS provide guidance and/or training to case workers and new employees to ensure compliance with 7 CFR §272.13 requirements, with emphasis on the requirements associated with providing notice to the household of PVS match results and establishment of claims for individuals who have been incarcerated for over 30 days.	Open
FNS	WASHINGTON'S COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES (7 CFR, PART 272)	27601-0012-10	9/28/2017	7	Require WA DSHS to include the SSA database in their prisoner verification system to comply with 7 CFR §272.13 and ensure applicants or recipients are not also listed in the SSA PVS.	Open
FNS	COMPILATION REPORT OF STATES' COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES AUP	27601-0013-10	12/19/2017	1	Issue a clarification memorandum reiterating the importance of FNS and State agency compliance with FNS Instruction 113-1 Civil Rights Compliance and Enforcement ? Nutrition Programs and Activities, with special emphasis on establishing requirements for timeliness and content of notifications to complainants for receipt and final decision of complaints.	Open

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FNS	<p>COMPILATION REPORT OF STATES' COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES AUP</p>	27601-0013-10	12/19/2017	<p>2 Review those 7 CFR Part 272 SNAP regulations identified in Appendix A of this report and related authoritative guidance to assess: • Viability - some sections appear obsolete (e.g., 7 CFR §272.10) • Consistency - ensure FNS regulations, and other authoritative guidance are current, consistent, and do not contradict laws or one another (e.g., 7 CFR §272.10, Handbook 901) Based on FNS' review, determine which sections and/or related guidance merit revision and initiate the process to revise, as deemed appropriate.</p>	Open
FNS	<p>COMPILATION REPORT OF STATES' COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES AUP</p>	27601-0013-10	12/19/2017	<p>3 Issue a clarification memorandum reiterating the importance of State compliance with 7 CFR §272.13, Prisoner Verification System, with an emphasis on the requirements associated with: • Monitoring and preventing individuals incarcerated for over 30 days from being included in a SNAP household (7 CFR §272.13 (a)) • Performing and documenting independent verification of PVS matches (7 CFR §272.13(b)(3)) • Notifying households of PVS match results (7 CFR §272.13(b)(4) and 7 CFR §273.12(c)(3)(iii)).</p>	Open

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FNS	COMPILATION REPORT OF STATES' COMPLIANCE WITH SNAP REQUIREMENTS FOR PARTICIPATING STATE AGENCIES AUP	27601-0013-10	12/19/2017	5 Issue a clarification memorandum reiterating the importance of State compliance with 7 CFR §272.14, Deceased Matching System, with an emphasis on the requirements associated with: • Performing and documenting independent verification (7 CFR §272.14(c)(3)) • Notifying households of DMS match results (7 CFR §272.14(c)(4) and 7 CFR §273.12(c)(3)(iii)).	Open
FNS	COMPILATION REPORT OF STATES' COMPLIANCE WITH REQUIREMENTS FOR THE ISSUANCE AND USE OF SUP	27601-0019-10	9/28/2018	1 Issue a clarification memorandum reiterating the importance of State compliance with 7 CFR §274.3, Retailer Management, with an emphasis that: • The State agency must have an executed agreement between the State, (or it's agent, the EBT Contractor) and each Exempt Retailer • The agreement must contain the minimum required content • A new agreement must be timely executed when there is a vendor name and/or FNS number change.	Open
FNS	COMPILATION REPORT OF STATES' COMPLIANCE WITH REQUIREMENTS FOR THE ISSUANCE AND USE OF SUP	27601-0019-10	9/28/2018	2 Issue a clarification memorandum to reiterate that State agencies (and their EBT Contractors) shall keep such records as may be necessary to determine program compliance (e.g., exempt retailer agreements), and records shall be available for inspection and audit within a reasonable time.	Open

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FNS	COMPILATION REPORT OF STATES' COMPLIANCE WITH REQUIREMENTS FOR THE ISSUANCE AND USE OF SUP	27601-0019-10	9/28/2018	3	Review 7 CFR, Part 274 and associated guidance to identify areas that require update, revision, or improvement and based on FNS' review, determine which sections and/or related guidance merit revision and initiate the process to revise, as deemed appropriate.	Open
FS	REVIEW OF FOREST SERVICE CONTROLS OVER EXPLOSIVES AND MAGAZINES	08016-0001-23	12/1/2017	4	Develop and implement a process to ensure that an authorized FS regional official reviews permittee-owned magazines and performs an annual inspection.	Open
FS	REVIEW OF FOREST SERVICE CONTROLS OVER EXPLOSIVES AND MAGAZINES	08016-0001-23	12/1/2017	6	Amend current requirements to provide minimum standards for locks and keys that include a definite timeframe for replacement.	Open
FS	REVIEW OF FOREST SERVICE CONTROLS OVER EXPLOSIVES AND MAGAZINES	08016-0001-23	12/1/2017	7	Update guidance requiring blasters to accurately document, track, and secure keys used to access explosives magazines.	Open
FS	REVIEW OF FOREST SERVICE CONTROLS OVER EXPLOSIVES AND MAGAZINES	08016-0001-23	12/1/2017	8	Develop and implement a process to verify that 7-day and annual inspections are performed for both FS-owned and permittee-owned magazines.	Open

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FS	AUDIT OF FOREST SERVICE'S NEXT GENERATION AND LEGACY AIR TANKER CONTRACT AWARDS	08099-0001-12	7/7/2017	1	Reevaluate the use of basic ordering agreements for obtaining ?call when needed? airtanker services and coordinate with applicable USDA offices to determine the best method and contract vehicle for acquiring ?call when needed? airtanker services and then establish procedures to operate within the bounds of the Federal Acquisition Regulations (FAR) and USDA procurement policies for the contract vehicle and method selected.	Open
FS	AUDIT OF FOREST SERVICE'S NEXT GENERATION AND LEGACY AIR TANKER CONTRACT AWARDS	08099-0001-12	7/7/2017	5	Identify and implement an appropriate method for recording the estimated flight hours for each airtanker contract, as well as a method to adjust the estimated flight hour cost obligations to match the actual expenditure for the flight hours incurred via contract modification.	Open
FSA	1993 CROP DISASTER PAYMENTS - BROOKS/JIM HOGG COS., TX	03006-0001-TE	1/2/1996	01A	. Coordinate with OIG-Investigations before taking administrative action regarding the cited 27 producers whose eligibility we questioned. Take administrative action to recover payments on cases that are not handled through the legal system.	Open
FSA	PAYMENT LIMITATION REVIEW IN LOUISIANA	03099-0181-TE	5/8/2008	2	If an adverse determination is made for Recommendation 1, collect program payments subject to limitation for each year for which a scheme or device was adopted and for the subsequent year. (The producers' payments subject to limitation totaled over \$1.4 million for the 2000 through 2002 crop years. See exhibit E.)	Open

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FSA	FARM SERVICE AGENCY COMPLIANCE ACTIVITIES	03601-0001-22	7/31/2014	1 Develop and implement an integrated compliance strategy to ensure that FSA's compliance activities are efficient and effective in reducing the potential for fraud, waste, and abuse.	Open
FSA	FARM SERVICE AGENCY COMPLIANCE ACTIVITIES	03601-0001-22	7/31/2014	2 Ensure that each of FSA's compliance activities has a clearly defined goal that contributes to FSA's overall compliance strategy and that each activity is effectively designed to achieve that purpose.	Open
FSA	FARM SERVICE AGENCY COMPLIANCE ACTIVITIES	03601-0001-22	7/31/2014	3 Revise the NCR process to ensure that it focuses on producer compliance and identifies the cause of errors or noncompliance.	Open
FSA	FARM SERVICE AGENCY COMPLIANCE ACTIVITIES	03601-0001-22	7/31/2014	4 Ensure that county offices are completing and accurately documenting all NCR results.	Open
FSA	FARM SERVICE AGENCY COMPLIANCE ACTIVITIES	03601-0001-22	7/31/2014	5 Revise the reporting process to ensure that FSA is capturing useful information from NCRs so that FSA can identify potential systemic issues and develop appropriate corrective actions.	Open
FSA	ECONOMIC ADJUSTMENT ASSISTANCE TO USERS OF UPLAND COTTON	03601-0002-22	7/31/2014	5 Amend the EAAP user agreement to require any user exiting the program to undergo a closeout examination after all transactions have been completed, including a review to determine if any payments transferred to another user are proper.	Open

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FSA	AGRICULTURE RISK COVERAGE AND PRICE LOSS COVERAGE PROGRAMS	03601-0002-31	9/20/2018	1 Coordinate with RMA, OCE, and TFAA to accurately describe the goal of ARC-CO and, if necessary, revise program guidance and related materials to ensure that this information is accurately presented and all interested stakeholders are properly re-educated.	Open
FSA	AGRICULTURE RISK COVERAGE AND PRICE LOSS COVERAGE PROGRAMS	03601-0002-31	9/20/2018	2 Establish a process to coordinate with RMA, OCE, and TFAA in the future when implementing programs to ensure program goals are accurately presented and all interested stakeholders are properly educated.	Open
FSA	AGRICULTURE RISK COVERAGE AND PRICE LOSS COVERAGE PROGRAMS	03601-0002-31	9/20/2018	3 Reassess whether issuing payments based on a farm’s physical location would be a more reasonable approach than using administrative county when implementing the next Farm Bill.	Open
FSA	AGRICULTURE RISK COVERAGE AND PRICE LOSS COVERAGE PROGRAMS	03601-0002-31	9/20/2018	6 Review and issue improper underpayments of \$1,786 due to incorrect PLC yields.	Open
FSA	AGRICULTURE RISK COVERAGE AND PRICE LOSS COVERAGE PROGRAMS	03601-0002-31	9/20/2018	7 Revise the current spot check process utilized for reviewing PLC yields. In particular, FSA could consider including retained payment yields in the review process and/or requiring a mandatory review of any PLC yield that appears unusually high, as defined by the agency.	Open
FSA	EMERGENCY FEED PROGRAM IN TEXAS	03601-0007-TE	9/8/1996	04A . Instruct the Reeves County CED to recover the cited ineligible benefits from Producer A (\$30.73) and Producer B (\$21,620).	Open

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FSA	EMERGENCY FEED PROGRAM IN TEXAS	03601-0007-TE	9/8/1996	05B . If the COC determines a scheme or device was used to defeat the purpose of the EFP, instruct the REEVES County CED to recover the \$70,529 in benefits paid this producer for crop years 1994 and 1995 and cancel the \$12,350 in benefits which otherwise are available for the 1995 crop year. (NOTE \$30,773 of this amount is also included in Recommendation No. 4)	Open
FSA	EMERGENCY FEED PROGRAM IN TEXAS	03601-0007-TE	9/8/1996	06A . Instruct the Reeves County COC to review the validity of the 1994 EFP form CCC-651 for Producer B and determine the eligibility of the producer and the \$32,546 in benefits paid for crop year 1994. (NOTE: \$21,620 of this amount is also included in Recommendation No. 4)	Open
FSA	TOBACCO TRANSITION PAYMENT PROGRAM - QUOTA HOLDER PAYMENTS AND FLUE-CURED TOBACCO QUOTAS	03601-0012-AT	9/26/2007	2 Instruct Kentucky, North Carolina, South Carolina, and Virginia to require the 5 COs to review the 14 contracts where applicants did not meet FSA's eligibility requirements and take appropriate recovery actions to collect \$119,568 of improper payments made in FYs 2005, 2006, and 2007.	Open
FSA	HURRICANE RELIEF INITIATIVES: LIVESTOCK AND FEED INDEMNITY PROGRAMS	03601-0023-KC	2/2/2009	4 For each application for which it is determined (under Recommendation 3) that the third-party statements and/or beginning inventory documentation omitted from the application did not meet program requirements, recover resultant overpayments.	Open

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FSA	BIOMASS CROP ASSISTANCE PROGRAM: COLLECTION, HARVEST, STORAGE, AND TRANSPORTATION MATCHING	03601-0028-KC	5/30/2012	16	Require the field office in Johnson County, Missouri, to (1) review all delivery documents submitted by participating owners in support of disbursed matching payments; (2) identify all improperly established dry weight ton equivalents of biomass material eligible for matching payments (i.e., all those not reduced to zero percent moisture); and (3) recover all associated improper payments.	Open
FSA	BIOMASS CROP ASSISTANCE PROGRAM: COLLECTION, HARVEST, STORAGE, AND TRANSPORTATION MATCHING	03601-0028-KC	5/30/2012	21	Require, through direction to the appropriate State offices, that county offices recover the improperly issued matching payments associated with deliveries of biomass material completed prior to approval of the owners' CHST applications.	Open
FSA	BIOMASS CROP ASSISTANCE PROGRAM: COLLECTION, HARVEST, STORAGE, AND TRANSPORTATION MATCHING	03601-0028-KC	5/30/2012	24	Based on the determinations reached regarding scheme or device, initiate appropriate administrative actions including the termination of any violated facility agreements and the recovery of any improperly disbursed matching payments plus interest. Coordinate with OIG Investigations prior to initiating any administrative actions.	Open
FSA	LIVESTOCK FORAGE PROGRAM	03702-0001-32	12/10/2014	2	Review and recover improper overpayments of \$358,956 due to errors in calculating Livestock Forage Program (LFP) payments.	Open

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FSA	LIVESTOCK FORAGE PROGRAM	03702-0001-32	12/10/2014	10	Develop and implement a tracking system for the required DD reviews in each State in order to ensure DD reviews are done timely and a report is filed with the State Executive Director (SED) within the required timeframe.	Open
FSA	COMMODITY CREDIT CORPORATION'S FINANCIAL STATEMENTS FOR FISCAL YEARS 2015 AND 2014	06401-0005-11	2/12/2016	16	Accounting for Recoveries of Prior Year Unpaid Obligations and Obligations - Implement the necessary functionality within the financial management systems to automatically deobligate undelivered orders at the time of payment.	Open
FSA	COMMODITY CREDIT CORPORATION'S FINANCIAL STATEMENTS FOR FISCAL YEARS 2015 AND 2014	06401-0005-11	2/12/2016	18	Funds Control - Continue the implementation of automated funds control of all obligations and expenditures at the transaction level within the core financial system. Further, implement necessary compensating controls until such automated controls are fully implemented.	Open
FSA	COMMODITY CREDIT CORPORATION'S FINANCIAL STATEMENTS FOR FISCAL YEARS 2015 AND 2014	06401-0005-11	2/12/2016	19	We recommend that management implement the recommendations presented in Exhibit I, to resolve the instances of noncompliance with FFMIA.	Open
FSA	CROP BASES ON LANDS WITH CONSERVATION EASEMENTS	50099-0011-SF	8/27/2007	2	Direct FSA's California State office to remove crop bases from the 33 easement-encumbered lands, and recover \$1,290,147 in improper payments.	Open

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FSA	COORDINATION OF USDA FARM PROGRAM COMPLIANCE - FSA, RMA, AND NRCS	50601-0003-22	1/27/2017	2	With guidance from the assigned Department-level entity, FSA, RMA, and NRCS need to revise the plan, with a timeline, to show when and how ACRSI will be fully implemented to include the ability of producers in all States to report acreage data, geospatial data, and production data for all crops.	Open
FSA	HURRICANE INDEMNITY PROGRAM - INTEGRITY OF DATA PROVIDED BY RMA	50601-0015-AT	3/31/2010	5	FSA should recover the \$815,612 in HIP overpayments that have been identified, and recover any other overpayments resulting from RMA's review of the AIPs' changes to cause of loss and date of damage	Open
FSA	TRADE ADJUSTMENT ASSISTANCE FOR FARMERS PROGRAM PHASE II	50703-0001-23	10/18/2013	9	Collect TAAF Program payments, totaling \$84,000, from those producers whose self-certification was not supported by their records.	Open
FSIS	FOOD SAFETY AND INSPECTION SERVICE FOLLOWUP ON THE 2007 AND 2008 AUDIT INITIATIVES	24016-0001-23	6/7/2017	3	Develop and implement a process to monitor and track the completion of all of the required elements and sub-elements of employees' In-Plant Performance System (IPPS) reviews. This process should include procedures for FSIS management to verify that all the required elements and sub elements for an IPPS review are completed.	Open
FSIS	FOOD SAFETY AND INSPECTION SERVICE FOLLOWUP ON THE 2007 AND 2008 AUDIT INITIATIVES	24016-0001-23	6/7/2017	4	Make improvements to the AssuranceNet system, as necessary, to ensure data reliability.	Open

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FSIS	FOOD SAFETY AND INSPECTION SERVICE FOLLOWUP ON THE 2007 AND 2008 AUDIT INITIATIVES	24016-0001-23	6/7/2017	7	Implement a process that requires FSIS inspectors to receive annual recertification on humane handling requirements. This process should require specific ongoing training to all staff including front line supervisors on current and new program requirements and the applicable directives, including examples of how to apply those requirements at the district and establishment levels. This recertification training should also include guidance on issuing the various disciplinary tools (e.g., noncompliance records and notice of intended enforcement (NOIE)).	Open
FSIS	FOOD SAFETY AND INSPECTION SERVICE FOLLOWUP ON THE 2007 AND 2008 AUDIT INITIATIVES	24016-0001-23	6/7/2017	12	Develop and implement procedures for district officials to follow and document when performing oversight and monitoring of front-line supervisors' activities.	Open
FSIS	FOOD SAFETY AND INSPECTION SERVICE FOLLOWUP ON THE 2007 AND 2008 AUDIT INITIATIVES	24016-0001-23	6/7/2017	13	Develop and implement a policy that requires front-line supervisors to document their monitoring and oversight activities (separate from the twice per year IPPS review requirement) at assigned establishments on a periodic basis.	Open
FSIS	FOOD SAFETY AND INSPECTION SERVICE FOLLOWUP ON THE 2007 AND 2008 AUDIT INITIATIVES	24016-0001-23	6/7/2017	17	Develop and implement policies and procedures that detail when edits can be made to HATS data and indicate that these edits can only be made by certain officials with justifications approved by headquarters.	Open

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FSIS	ASSESSMENT OF USDA'S CONTROLS TO ENSURE COMPLIANCE WITH BEEF EXPORT REQUIREMENTS	50601-0006-HY	7/15/2009	2	Implement procedures to ensure the data captured is complete and consistently recorded.	Open
NRCS	CONTROLS OVER CONSERVATION INNOVATION GRANTS	10099-0001-23	9/11/2018	1	Review and revise existing policy for monitoring CIG projects at both the national and State levels to include tracking receipt of performance reports and ensuring grantees have NRCS contacts available for assistance during the entire performance period.	Open
NRCS	CONTROLS OVER CONSERVATION INNOVATION GRANTS	10099-0001-23	9/11/2018	2	Establish a process for withholding payments from grantees who violate the reporting terms and conditions outlined in the CIG grant agreement.	Open
NRCS	CONTROLS OVER CONSERVATION INNOVATION GRANTS	10099-0001-23	9/11/2018	3	Obtain and assess missing quarterly and semiannual reports from the 35 CIGs reviewed and determine if \$4,366,090 in CIG funds were paid out appropriately or if funds should be recovered. If CIG funds should be recovered, begin the recovery process.	Open
NRCS	CONTROLS OVER CONSERVATION INNOVATION GRANTS	10099-0001-23	9/11/2018	4	Develop a process to conduct and document periodic reviews of the grantees' records, at the national and State level, to verify third party matching contributions, i.e. cash and/or in-kind, obtained and utilized during CIG projects.	Open
NRCS	CONTROLS OVER CONSERVATION INNOVATION GRANTS	10099-0001-23	9/11/2018	5	Reinforce the provisions of the terms and conditions in the CIG agreement relating to maintaining supporting documentation, including documentation for matching funds.	Open

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NRCS	CONTROLS OVER CONSERVATION INNOVATION GRANTS	10099-0001-23	9/11/2018	6	Ensure the identified \$1,271,659 of insufficiently supported matching funds is verified and reconciled. NRCS should take appropriate action where applicable.	Open
NRCS	CONTROLS OVER CONSERVATION INNOVATION GRANTS	10099-0001-23	9/11/2018	7	Define the roles and responsibilities of the national office staff responsible for monitoring the State CIG program.	Open
NRCS	CONTROLS OVER CONSERVATION INNOVATION GRANTS	10099-0001-23	9/11/2018	8	Revise existing procedures requiring State offices to provide information on the State's CIG program to the national office annually. The updated procedures should detail the information the national office expects in each report to ensure accurate reporting to Congress.	Open
NRCS	CONTROLS OVER CONSERVATION INNOVATION GRANTS	10099-0001-23	9/11/2018	9	Ensure the December 2018 report to Congress includes CIG project funding and results from the State awarded CIGs, to include current year and historical data omitted from prior reports, including but not limited to the 129 CIG State awarded projects we identified totaling \$8.2 million.	Open
NRCS	CONTROLS OVER CONSERVATION INNOVATION GRANTS	10099-0001-23	9/11/2018	10	Develop policies that establish timeframes for each part of the CIG payment process, from receipt and review of the payment request and supporting documents through submission of the application to the Accounts Payable Branch.	Open
NRCS	CONTROLS OVER CONSERVATION INNOVATION GRANTS	10099-0001-23	9/11/2018	11	Develop a system for tracking CIG payment requests to ensure payments are made within 30 days after receipt of the request.	Open

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NRCS	CONTROLS OVER CONSERVATION INNOVATION GRANTS	10099-0001-23	9/11/2018	12 Review and revise current policy to require all officials involved in the CIG administrative review and approval process to complete and submit a Confidentiality and Non-Conflict of Interest Statement form to comply with current Federal regulations concerning conflicts of interest policies for Federal awards.	Open
NRCS	CONTROLS OVER CONSERVATION INNOVATION GRANTS	10099-0001-23	9/11/2018	13 Update existing CIG policy to ensure that all completed non-conflict of interest forms are maintained for each panel review.	Open
NRCS	NATURAL RESOURCES CONSERVATION SERVICE'S BALANCE SHEET FOR FISCAL YEAR 2017	10401-0009-11	11/13/2017	1 We recommend that management of the NRCS: A. Enhance processes and NRCS feeder systems to improve monitoring of activity in U.S. Standard General Ledger (USSGL) 4871 and 4881 and ensure that invalid upward and downward adjustments are identified and corrected in a timely manner and that balances are appropriate; and B. Develop and implement a process that tracks agreement progress to ensure all signed agreements have been recorded in the financial system.	Open

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NRCS	NATURAL RESOURCES CONSERVATION SERVICE'S BALANCE SHEET FOR FISCAL YEAR 2017	10401-0009-11	11/13/2017	<p>3 We recommend that NRCS management appropriately align knowledgeable resources to evaluate the roles of service organizations, assess controls at those service organizations, and identify and assess the CUECs of those service organizations. Identification and assessment of CUECs should address the following: Are there any CUECs identified by the service organization that are relevant to the entity? Are the CUECs identified, implemented and operating effectively at NRCS? If the service auditor's report cannot be relied on (i.e., if there is an uncovered subservice organization), what compensating controls, if any, are needed?</p>	Open
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NRCS	NATURAL RESOURCES CONSERVATION SERVICE'S BALANCE SHEET FOR FISCAL YEAR 2018	10403-0001-11	11/15/2018	<p>2 We recommend, specifically related to the entity-wide corporate accrual process, that NRCS management:</p> <p>A. Design, implement, and document policies and procedures that include documented internal controls which provide support that NRCS has reviewed the transactional data and assumptions used in its entity-wide expenses accrual process.</p> <p>B. Design, implement, and document policies and procedures that include documented internal controls which provide support that NRCS has reviewed all expenses excluded from its entity-wide accrual policy and evaluate the need for any additional accruals.</p> <p>C. Provide additional guidance and/or training to employees over the recording of transactions with future economic benefits.</p>	Open
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NRCS	CONTROLS OVER THE CONSERVATION STEWARDSHIP PROGRAM	10601-0001-32	9/27/2016	5 For the five contracts in which the agricultural operations were not substantially separate from other agricultural operations, require the State Conservationist to (1) coordinate with FSA to determine the proper delineation and (2) determine if the participants engaged in any misrepresentation, scheme, or device for CSP purposes. If the State Conservationist determines the participants engaged in misrepresentation, scheme, or device, terminate the participants' interests in all CSP contracts and determine whether there is cause for consideration of suspension and debarment for the participants. If participants did not engage in misrepresentation, scheme, or device, modify or terminate the contract and deobligate funds, as appropriate.	Open
NRCS	CONTROLS OVER THE CONSERVATION STEWARDSHIP PROGRAM	10601-0001-32	9/27/2016	6 For the remaining six contracts in which the agricultural operations were inconsistently delineated, direct the Arkansas and Oklahoma State Conservationists to modify and/or terminate the contracts and to deobligate funds, as appropriate.	Open
NRCS	CONTROLS OVER THE CONSERVATION STEWARDSHIP PROGRAM	10601-0001-32	9/27/2016	7 Direct the Arkansas and Oklahoma State Conservationists to recover any overpayments and liquidated damages resulting from the modifications or terminations of the contracts on which the participant(s) inconsistently	Open

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delineated their agricultural operations.

NRCS	CONTROLS OVER THE CONSERVATION STEWARDSHIP PROGRAM	10601-0001-32	9/27/2016	16 For each of the 29 contracts on which the participants claimed payment shares inconsistent with their reported member shares of the operation, recover any overpayments and liquidated damages resulting from operational adjustments to, or termination of, the contracts. For any cases in which the State Conservationist determines the participants engaged in any misrepresentation, scheme, or device, recover any overpayments and liquidated damages resulting from termination of the participants' interests in all other CSP contracts.	Open
NRCS	CONTROLS OVER THE CONSERVATION STEWARDSHIP PROGRAM	10601-0001-32	9/27/2016	20 Direct the Arkansas State NRCS Office to make operational adjustment modifications to, or cancel, as appropriate, each of the 15 contracts identified as containing incompatible enhancements that occupy, or may occupy, the same space. Deobligate funds for the contracts as appropriate.	Open
NRCS	CONTROLS OVER THE CONSERVATION STEWARDSHIP PROGRAM	10601-0001-32	9/27/2016	21 Require the Arkansas State NRCS Office to recover any improper payments on each contract NRCS has determined (under Recommendation 20) includes incompatible	Open

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					enhancements that occupy the same space.	
NRCS	CONTROLS OVER THE CONSERVATION STEWARDSHIP PROGRAM	10601-0001-32	9/27/2016	25	For the 21 contracts for which participants were unable to provide required job sheet documentation to demonstrate effective and timely implementation of enhancements, direct the State Conservationists to make operational adjustment modifications to the contracts and/or terminate the contracts and deobligate funds, as appropriate.	Open
NRCS	CONTROLS OVER THE CONSERVATION STEWARDSHIP PROGRAM	10601-0001-32	9/27/2016	26	For the 21 contracts for which participants were unable to provide required job sheet documentation to demonstrate effective and timely implementation of enhancements, direct the State Conservationists to recover any overpayments and liquidated damages resulting from operational adjustment modifications to, or termination of, the contracts.	Open
NRCS	NRCS CONSERVATION EASEMENT COMPLIANCE	10601-0002-31	7/31/2014	1	Add a place on the annual monitoring worksheet to document the expiration date for a Compatible Use Authorization (CUA), and instruct the monitors to include this information as part of their review process.	Open

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NRCS	NRCS CONSERVATION EASEMENT COMPLIANCE	10601-0002-31	7/31/2014	5	Develop procedures for States to perform annual outreach to landowners on the terms of their easement agreements and ensure the States use the newly developed name and address report from NEST.	Open
NRCS	NRCS CONSERVATION EASEMENT COMPLIANCE	10601-0002-31	7/31/2014	10	Develop procedures giving State offices clear time frames or expectations for when landowners should be notified regarding noncompliant activities on their easements. Additionally, NRCS needs to improve its existing procedures to ensure that all noncompliant activity on easements is timely resolved.	Open
NRCS	NRCS REGIONAL CONSERVATION PARTNERSHIP PROGRAM CONTROLS	10601-0004-31	6/28/2018	1	Develop and implement guidance, policies, and procedures to ensure consistent administration of RCPP.	Open
NRCS	NRCS REGIONAL CONSERVATION PARTNERSHIP PROGRAM CONTROLS	10601-0004-31	6/28/2018	2	Develop and implement a formal national oversight process to assess State and partner compliance with the RCPP guidance, policies, and procedures established in response to Recommendation 1.	Open
NRCS	NRCS REGIONAL CONSERVATION PARTNERSHIP PROGRAM CONTROLS	10601-0004-31	6/28/2018	3	Obtain and review additional supporting documentation for the questioned \$632,687 in RCPP payments made without adequate documentation and recover any payments that are determined to be ineligible for technical assistance expenses.	Open
NRCS	NRCS REGIONAL CONSERVATION PARTNERSHIP PROGRAM CONTROLS	10601-0004-31	6/28/2018	4	Request the return of previously issued RCPP technical assistance payments of \$60,357 to partners for ineligible expenses.	Open

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NRCS	NRCS Regional Conservation Partnership Program Controls - 10601-0004-31(2) (Interim Report	10601-0004-31(2)	11/13/2017	1 The Natural Resources Conservation Service (NRCS) should issue guidance to the Oregon State Office to discontinue making Regional Conservation Partnership Program (RCPP) payments when supporting documentation does not identify the land and producer for which the technical assistance was provided.	Open
NRCS	NRCS Regional Conservation Partnership Program Controls - 10601-0004-31(2) (Interim Report	10601-0004-31(2)	11/13/2017	2 NRCS should request the RCPP partner to provide supporting documentation that includes the land and producer information for all previously made payments. NRCS should review any additional documentation provided and, if the partner does not provide the unredacted documentation, then NRCS should request a return of the previous payments.	Open
OCFO	IMPLEMENTATION OF SUSPENSION AND DEBARMENT TOOLS IN THE U.S. DEPARTMENT OF AGRICULTURE	50016-0001-23	9/28/2017	1 The Office of the Chief Financial Officer (OCFO) should ensure that the language in DR 2280-001 requires disqualifications to be listed in the System for Award Management (SAM) as requested by Recommendation 6 of the prior 2010 report.	Open
OCFO	IMPLEMENTATION OF SUSPENSION AND DEBARMENT TOOLS IN THE U.S. DEPARTMENT OF AGRICULTURE	50016-0001-23	9/28/2017	6 OCFO should amend DR 2280-001 to clearly define the roles and responsibilities for Suspension and Debarment Council members with respect to the implementation and oversight of the agency's suspension and debarment program.	Open

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OCFO	IMPLEMENTATION OF SUSPENSION AND DEBARMENT TOOLS IN THE U.S. DEPARTMENT OF AGRICULTURE	50016-0001-23	9/28/2017	8	OCFO should issue a reminder to all agencies clarifying that individuals participating in a not-covered transaction are eligible for suspension and debarment action(s), and that individuals or entities convicted of felony fraud offenses must be permanently debarred from all USDA programs.	Open
OCFO	U.S. DEPARTMENT OF AGRICULTURE'S CONSOLIDATED BALANCE SHEET FOR FISCAL YEAR 2017	50401-0013-11	11/15/2017	1	Provide additional oversight to ensure that financial reporting controls over ULOs are strengthened and maintained.	Open
OCIO	SECURITY OVER SELECT USDA AGENCIES' NETWORKS AND SYSTEMS FY 2018	50501-0017-12	9/28/2018	1	Identify all USDA systems and devices that cannot fully utilize automated security tools and either update to a more compatible system or implement compensating controls.	Open
OCIO	SECURITY OVER SELECT USDA AGENCIES' NETWORKS AND SYSTEMS FY 2018	50501-0017-12	9/28/2018	3	Implement Department-wide network configuration management tools and processes to consistently enforce and maintain mandated security baselines for USDA network devices.	Open
OCIO	FY 2018 FEDERAL INFORMATION SECURITY MODERNIZATION ACT AUDIT	50501-0018-12	10/12/2018	1	OBPA should appoint a CRO executive and develop ERM policies and procedures in accordance with the ERM Playbook: Enterprise Risk Management for the U.S. Federal Government.	Open
OCIO	FY 2018 FEDERAL INFORMATION SECURITY MODERNIZATION ACT AUDIT	50501-0018-12	10/12/2018	6	OCIO should design and implement a strategic Department-wide plan to address unsupported software which are no longer supported by the vendor.	Open

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OCIO	FY 2018 FEDERAL INFORMATION SECURITY MODERNIZATION ACT AUDIT	50501-0018-12	10/12/2018	7	The Department should develop privacy policies and procedures in accordance with NIST and OMB A-130 requirements. In addition, OCIO and the Chief Privacy Officer should conduct a thorough gap analysis of existing USDA policy, procedures and guidance, and publish an updated Privacy Act Compliance Departmental Directive to include current NIST and OMB Privacy Act related guidance and requirements.	Open
OCIO	FY 2018 FEDERAL INFORMATION SECURITY MODERNIZATION ACT AUDIT	50501-0018-12	10/12/2018	8	The Department should design and implement the necessary oversight and enforcement mechanisms and controls to ensure all system contingency plans are tested annually and the results of all tests are reviewed annually to ensure corrective actions can be initiated, as necessary.	Open
OCIO	Improper Usage of USDA's Information Technology Resources 50501-0020-12(1)	50501-0020-12(1)	6/26/2018	1	Restrict web browsers' ability to deploy tools that could be used for nefarious purposes or restrict the use of extraneous web browsers outright.	Open
OCIO	Improper Usage of USDA's Information Technology Resources 50501-0020-12(1)	50501-0020-12(1)	6/26/2018	2	Block applications that can circumvent the administrative rights requirement to install an application.	Open
OCIO	Improper Usage of USDA's Information Technology Resources 50501-0020-12(1)	50501-0020-12(1)	6/26/2018	3	Restrict non-business related websites and applications.	Open
OCIO	Improper Usage of USDA's Information Technology Resources 50501-0020-12(1)	50501-0020-12(1)	6/26/2018	5	Implement the capability to decrypt and analyze network traffic for potential inappropriate and/or nefarious content.	Open

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OCIO	Improper Usage of USDA's Information Technology Resources 50501-0020-12(1)	50501-0020-12(1)	6/26/2018	6	Restrict access to BIOS settings to prevent reconfiguring of the boot order.	Open
OCIO	Improper Usage of USDA's Information Technology Resources 50501-0020-12(1)	50501-0020-12(1)	6/26/2018	7	Properly secure extraneous capabilities of mobile devices to mitigate the risk of extraneous and possibly nefarious activity.	Open
OHSEC	Agroterrorism, Prevention, Detection and Response	61701-0001-21	3/27/2017	1	Develop and implement a written process for how OHSEC oversees and coordinates USDA's agroterrorism prevention, detection, and response activities. At a minimum, this process should include steps to: (a) perform a USDA-wide vulnerability assessment for agroterrorism preparedness every two years, and (b) integrate, coordinate, and communicate response plans for agricultural disease emergencies and agroterrorist acts.	Open
OHSEC	Agroterrorism, Prevention, Detection and Response	61701-0001-21	3/27/2017	2	Obtain information from agencies about the purpose, frequency, and outcomes of exercises related to agroterrorism. Review after-action reports from agency exercises to: (a) identify lessons learned and best practices and distribute them to other appropriate USDA agencies, and (b) ensure that agencies implement corrective actions in a timely manner to resolve identified weaknesses.	Open

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OHSEC	Agroterrorism, Prevention, Detection and Response	61701-0001-21	3/27/2017	5	Develop and implement a written process to maintain a USDA website to provide useful resources related to agroterrorism that is readily available to all USDA constituents. As a part of this process, conduct an analysis to identify agroterrorism prevention, detection, and response information available on USDA and other Federal websites that can be included on this website.	Open
OHSEC	Agroterrorism, Prevention, Detection and Response	61701-0001-21	3/27/2017	13	Request updates or negative responses from all USDA agencies to update the SSP.	Open
OPPM	DEPARTMENT OF AGRICULTURE'S MANAGEMENT OVER THE MISUSE OF GOVERNMENT VEHICLES	50099-0003-21	9/18/2018	1	OPFM should implement timeframes and require all USDA agencies to annually justify or dispose, share, or re-assign underutilized vehicles as defined by the criteria set forth in AGPMR Advisory 17-01.	Open
OPPM	DEPARTMENT OF AGRICULTURE'S MANAGEMENT OVER THE MISUSE OF GOVERNMENT VEHICLES	50099-0003-21	9/18/2018	2	OPFM should reconcile vehicle inventory counts on an annual basis with all USDA agencies, but specifically with any agencies, such as FS, that may continue to use their own vehicle management information systems to record inventory, cost, and operational data.	Open
OPPM	DEPARTMENT OF AGRICULTURE'S MANAGEMENT OVER THE MISUSE OF GOVERNMENT VEHICLES	50099-0003-21	9/18/2018	3	OPFM should immediately conduct a data call to all USDA agencies, request all active, certified home-to-work authorizations, and immediately update the quarterly home-to-work determinations sent to Congress.	Open

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OPPM	DEPARTMENT OF AGRICULTURE'S MANAGEMENT OVER THE MISUSE OF GOVERNMENT VEHICLES	50099-0003-21	9/18/2018	4 OPFM should identify a tool (such as SharePoint) to collect and review home-to-work authorization forms so that OPFM can periodically review eligibility for more accurate reporting to satisfy quarterly Congressional home-to-work reporting requirements.	Open
OPPM	DEPARTMENT OF AGRICULTURE'S MANAGEMENT OVER THE MISUSE OF GOVERNMENT VEHICLES	50099-0003-21	9/18/2018	5 OPFM should coordinate with each USDA agency to identify an employee misconduct investigative unit, and route all complaints of employee vehicle misuse to that unit for assessment.	Open
OPPM	DEPARTMENT OF AGRICULTURE'S MANAGEMENT OVER THE MISUSE OF GOVERNMENT VEHICLES	50099-0003-21	9/18/2018	6 OPFM should require each agency's employee misconduct investigative unit to assess misuse allegations submitted by the public. The assessment should include identifying risk factors, such as repeat offenders, employee time and attendance records, questionable driving histories, and prior disciplinary actions, and conclude whether the employee misconduct investigative unit should further investigate the complaint or allow the agency to process it.	Open

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OPPM	DEPARTMENT OF AGRICULTURE'S MANAGEMENT OVER THE MISUSE OF GOVERNMENT VEHICLES	50099-0003-21	9/18/2018	7 OPFM should develop procedures for agencies to follow when investigating complaints referred to them by their perspective employee misconduct investigative units. Guidance should include, at a minimum: (1) expected timeframes to address and resolve the complaints, (2) recommended courses of action for each complaint type, and (3) documentation to provide to OPFM supporting that corrective actions adequately address the complaints.	Open
OPPM	DEPARTMENT OF AGRICULTURE'S MANAGEMENT OVER THE MISUSE OF GOVERNMENT VEHICLES	50099-0003-21	9/18/2018	8 OPFM should develop procedures for OPFM personnel to follow when receiving, recording, distributing, and resolving complaints received from the public. This guidance, at a minimum, should include: (1) information to be recorded by OPFM personnel, (2) expected timeframes for agencies to address and resolve complaints once the investigating body is determined, (3) documentation necessary to obtain during the complaint process, and (4) closeout procedures once a complaint has been resolved.	Open
OPPM	DEPARTMENT OF AGRICULTURE'S MANAGEMENT OVER THE MISUSE OF GOVERNMENT VEHICLES	50099-0003-21	9/18/2018	9 OPFM should require each agency to log and track relevant data (such as employee name, time and attendance records, accident history, repeat allegations, and prior disciplinary actions) to help the employee misconduct investigative unit select complaints for further investigation.	Open

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OPPM	DEPARTMENT OF AGRICULTURE'S MANAGEMENT OVER THE MISUSE OF GOVERNMENT VEHICLES	50099-0003-21	9/18/2018	10 OPFM should develop and implement procedures for all USDA agencies to consistently review driver authorizations and qualifications. Specifically, these procedures should require agencies to annually review employee records and annually certify that employees have: (1) authorization to operate a vehicle, (2) a valid driver's license, (3) an acceptable driving history, (4) met training requirements, and (5) certified their authorization forms.	Open
OPPM	DEPARTMENT OF AGRICULTURE'S MANAGEMENT OVER THE MISUSE OF GOVERNMENT VEHICLES	50099-0003-21	9/18/2018	11 OPFM should require all USDA agencies to update their policies and establish an effective monitoring system to ensure that procedures described in Recommendation 10 are followed.	Open
OPPM	DEPARTMENT OF AGRICULTURE'S MANAGEMENT OVER THE MISUSE OF GOVERNMENT VEHICLES	50099-0003-21	9/18/2018	12 OPFM should establish procedures to monitor and periodically review, at its discretion, agency certifications described in Recommendation 10.	Open
OSDBU	OSDBU'S CONTROLS OVER THE ELIGIBILITY OF CONTRACT RECIPIENTS	50601-0003-23	9/28/2018	1 Inform GSA and SBA officials of the issues OIG found during this audit, including details on the specific contracts.	Open
OSDBU	OSDBU'S CONTROLS OVER THE ELIGIBILITY OF CONTRACT RECIPIENTS	50601-0003-23	9/28/2018	2 Work with the USDA agencies to determine what actions need to be taken against the four businesses that could not support their disadvantaged status. Consider taking suspension and debarment actions to prevent other USDA agencies and other Federal Departments from contracting with those businesses.	Open

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OSDBU	OSDBU'S CONTROLS OVER THE ELIGIBILITY OF CONTRACT RECIPIENTS	50601-0003-23	9/28/2018	3 Develop and implement a process to review a sample of contracts at least annually to see if there is documentation to support small business and disadvantaged business status. Also, develop a process to periodically notify GSA and SBA officials regarding any businesses that USDA finds with inaccurate status information in SAM, or when information in FPDS and SAM does not match.	Open
RMA	ACTUAL REVENUE HISTORY UNDERWRITING FOR SWEET CHERRIES	05601-0003-22	4/9/2018	3 Develop and implement a process to routinely review a targeted sample of ARH policies to ensure that revenue has been reported accurately by the producers.	Open
RMA	RMA'S UTILIZATION OF CONTRACTED DATA MINING RESULTS	05601-0005-31	12/19/2017	2 Develop and implement a process to analyze the data from Recommendation 1 to identify potential problem areas and weaknesses. Design and implement controls to mitigate identified problem areas and weaknesses.	Open

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GAO Recommendations

Table GAO-IG Act-2. Open GAO Audit Recommendations

<u>Agency/Staff Office</u>	<u>Audit Title</u>	<u>Audit Number</u>	<u>Date of Publication</u>	<u>Recommendation</u>	<u>Status</u>
AMS	U.S. Department of Agriculture: Additional Data Analysis Could Enhance Monitoring of U.S. Cattle Market	GAO-18-296	4/10/2018	The Secretary of Agriculture should direct the AMS administrator to ensure that P&SP routinely conducts in-depth analysis of the transaction data that it collects. Such analysis could include but not be limited to examining competition levels in different areas of the country. (Recommendation 2)	Open
AMS	Agricultural Promotion Programs: USDA Could Build on Existing Efforts to Further Strengthen Its Oversight	GAO-18-54	11/21/2017	The Administrator of AMS should revise the standard operating procedures for AMS's check-off programs to state that management reviews include a sample of subcontracts for review. (Recommendation 1)	Open
AMS	Agricultural Promotion Programs: USDA Could Build on Existing Efforts to Further Strengthen Its Oversight	GAO-18-54	11/21/2017	The Administrator of AMS should establish a mechanism for documenting and tracking follow-up with check-off boards on the implementation of management review recommendations. (Recommendation 2)	Open
AMS	Agricultural Promotion Programs: USDA Could Build on Existing Efforts to Further Strengthen Its Oversight	GAO-18-54	11/21/2017	The Administrator of AMS should ensure that annual independent audits include the five statements of assurance as outlined in the standard operating procedures. (Recommendation 3)	Open
AMS	Agricultural Promotion Programs: USDA Could Build on Existing Efforts to Further Strengthen Its Oversight	GAO-18-54	11/21/2017	The Administrator of AMS should include in the guidelines and standard operating procedures that key check-off board documents, such as bylaws and policy statements, annual reports, and independent evaluations of economic effectiveness are posted on the check-off programs' websites. (Recommendation 4)	Open

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AMS	Agricultural Promotion Programs: USDA Could Build on Existing Efforts to Further Strengthen Its Oversight	GAO-18-54	11/21/2017	The Administrator of AMS should develop criteria by which to assess the methodology and results of independent evaluations and document those reviews to ensure that the standard operating procedures are met. (Recommendation 5)	Open
APHIS	Genetically Engineered Crops: USDA Needs to Enhance Oversight and Better Understand Impacts of Unintended Mixing with Other Crops	GAO-16-241	3/15/2016	To improve USDA's ability to oversee GE crops, the Secretary of Agriculture should direct the Administrator of the Animal and Plant Health Inspection Service (APHIS) to develop a timeline, with milestones and interim steps, for updating its existing regulations to cover GE crops developed with alternative technologies that either do not use plant pests or use plant pests but do not result in plant pest deoxyribonucleic acid in the crop developed. Recommendation 1	Open
APHIS	Genetically Engineered Crops: USDA Needs to Enhance Oversight and Better Understand Impacts of Unintended Mixing with Other Crops	GAO-16-241	3/15/2016	To improve USDA's ability to better understand the economic impacts of unintended mixing of GE and other crops, the Secretary of Agriculture should direct the Administrator of the National Agricultural Statistics Service (NASS) to work with all relevant USDA stakeholders, including APHIS and the Organic Working Group, to determine what additional information should be sought in future organic surveys, such as the costs of reshipping and re-storing shipments rejected because of unintended GE presence, as well as the costs associated with finding new buyers for such shipments. Recommendation 2	Open
APHIS	Genetically Engineered Crops: USDA Needs to Enhance Oversight and Better Understand Impacts of Unintended Mixing with Other Crops	GAO-16-241	3/15/2016	To improve USDA's ability to better understand the economic impacts of unintended mixing of GE and other crops, the Secretary of Agriculture should direct the Administrator of NASS to include producers, growing identity-preserved crops, in addition to organic producers in USDA's survey efforts. Recommendation 3	Open

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APHIS	High-Containment Laboratories: Comprehensive and Up-to-Date Policies and Stronger Oversight Mechanisms Needed to Improve Safety	GAO-16-305	3/21/2016	To ensure that federal departments and agencies have comprehensive and up-to-date policies and stronger oversight mechanisms in place for managing hazardous biological agents in high-containment laboratories and are fully addressing weaknesses identified after laboratory safety lapses, the Secretary of Agriculture should revise existing department policies for managing hazardous biological agents in high-containment laboratories to contain specific requirements for reporting laboratory incidents to senior department officials, including the types of incidents that should be reported, to whom, and when, or direct the Administrator of the Food Safety and Inspection Service to develop agency policies that contain these requirements. Recommendation 1	Open
APHIS	High-Containment Laboratories: Comprehensive and Up-to-Date Policies and Stronger Oversight Mechanisms Needed to Improve Safety	GAO-16-305	3/21/2016	To ensure that federal departments and agencies have comprehensive and up-to-date policies and stronger oversight mechanisms in place for managing hazardous biological agents in high-containment laboratories and are fully addressing weaknesses identified after laboratory safety lapses, the Secretary of Agriculture should review and update outdated department policies for managing hazardous biological agents in high-containment laboratories and direct the Administrators of the Animal and Plant Health Inspection Service (APHIS) and Agricultural Research Service to update their policies and, in the case of APHIS, establish a regular review schedule. Recommendation 2	Open

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APHIS	High-Containment Laboratories: Comprehensive and Up-to-Date Policies and Stronger Oversight Mechanisms Needed to Improve Safety	GAO-16-305	3/21/2016	To ensure that federal departments and agencies have comprehensive and up-to-date policies and stronger oversight mechanisms in place for managing hazardous biological agents in high-containment laboratories and are fully addressing weaknesses identified after laboratory safety lapses, the Secretary of Agriculture should routinely analyze results of the department's laboratory inspections and incident reports to identify potential trends that may highlight recurring laboratory safety or security issues and share lessons learned with laboratory personnel. Recommendation 3	Open
APHIS	High-Containment Laboratories: Comprehensive and Up-to-Date Policies and Stronger Oversight Mechanisms Needed to Improve Safety	GAO-16-305	3/21/2016	To ensure that federal departments and agencies have comprehensive and up-to-date policies and stronger oversight mechanisms in place for managing hazardous biological agents in high-containment laboratories and are fully addressing weaknesses identified after laboratory safety lapses, the Secretary of Agriculture should require routine reporting of the results of department, agency, and select agent laboratory inspections to senior department officials. Recommendation 4	Open
APHIS	High-Containment Laboratories: Comprehensive and Up-to-Date Policies and Stronger Oversight Mechanisms Needed to Improve Safety	GAO-16-305	3/21/2016	To ensure that federal departments and agencies have comprehensive and up-to-date policies and stronger oversight mechanisms in place for managing hazardous biological agents in high-containment laboratories and are fully addressing weaknesses identified after laboratory safety lapses, the Secretary of Agriculture should require routine reporting of incidents at agency laboratories to senior department officials. Recommendation 5	Open
APHIS	High-Containment Laboratories: Improved Oversight of Dangerous Pathogens Needed to Mitigate Risk	GAO-16-642	8/30/2016	To increase scientific information on inactivation and viability testing, the Secretaries of Health and Human Services and Agriculture should coordinate research efforts and take actions to help close gaps in the science of inactivation and viability testing	Open

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				across high-containment laboratories. Recommendation 5	
APHIS	Antibiotic Resistance: More Information Needed to Oversee Use of Medically Important Drugs in Food Animals	GAO-17-192	3/2/2017	The Secretary of Agriculture should direct the Administrator of APHIS and the Administrator of the Food Safety and Inspection Service to work with the Director of CDC to develop a framework for deciding when on-farm investigations are warranted during outbreaks. Recommendation 6	Open
APHIS	High-Containment Laboratories: Coordinated Actions Needed to Enhance the Select Agent Program's Oversight of Hazardous Pathogens	GAO-18-145	10/19/2017	To improve independence, the APHIS director of the Select Agent Program should regularly assess the potential risks posed by the program's structure and the effectiveness of its mechanisms to address those risks, such as by commissioning external reviews, and take actions as necessary to ensure any identified risks are addressed so that impairments to independence do not affect its ability to achieve its objectives. (Recommendation 5)	Open
APHIS	High-Containment Laboratories: Coordinated Actions Needed to Enhance the Select Agent Program's Oversight of Hazardous Pathogens	GAO-18-145	10/19/2017	To improve the ability to perform reviews, the APHIS director of the Select Agent Program should work with CDC to develop and implement a plan to identify which laboratory activities carry the highest biological safety and security risks and to respond to those risks by aligning inspections and other oversight efforts to target those activities. (Recommendation 7)	Open
APHIS	High-Containment Laboratories: Coordinated Actions Needed to Enhance the Select Agent Program's Oversight of Hazardous Pathogens	GAO-18-145	10/19/2017	To improve technical expertise and overcome fragmentation, the APHIS director of the Select Agent Program should work with CDC to develop a joint workforce plan that assesses workforce and training needs for the program as a whole. This assessment should be done in conjunction with the development of the strategic plan. (Recommendation 11)	Open

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APHIS	Animal Use in Federal Research: Agencies Share Information, but Reporting and Data Quality Could Be Strengthened	GAO-18-459	5/31/2018	The Administrator of APHIS should develop a timeline for (1) defining birds that are not bred for research and that are covered by the Animal Welfare Act, and (2) requiring that research facilities report to APHIS their use of birds covered by the act. (Recommendation 1)	Open
APHIS	Animal Use in Federal Research: Agencies Share Information, but Reporting and Data Quality Could Be Strengthened	GAO-18-459	5/31/2018	The Administrator of APHIS should instruct federal agencies to report their use of animals covered by the Animal Welfare Act in federal facilities located outside of the United States. (Recommendation 2)	Open
APHIS	Animal Use in Federal Research: Agencies Share Information, but Reporting and Data Quality Could Be Strengthened	GAO-18-459	5/31/2018	In developing the definition of field studies, the Administrator of APHIS should provide research facilities with clear criteria for identifying field studies that are covered by the Animal Welfare Act's regulations and that facilities should report to APHIS as well as field studies that facilities should not report. (Recommendation 3)	Open
APHIS	Foot-and-Mouth Disease: USDA's Efforts to Prepare for a Potential Outbreak Could Be Strengthened	GAO-19-103	3/12/2019	The Administrator of the Animal and Plant Health Inspection Service should follow the agency's standard operating procedures (SOP) to prioritize corrective actions for FMD preparedness. (Recommendation 1)	Open
APHIS	Foot-and-Mouth Disease: USDA's Efforts to Prepare for a Potential Outbreak Could Be Strengthened	GAO-19-103	3/12/2019	The Administrator of the Animal and Plant Health Inspection Service should follow the agency's SOP to monitor progress and track completion of corrective actions for FMD preparedness. (Recommendation 2)	Open
DA	Rural Housing Service: Opportunities Exist to Strengthen Farm Labor Housing Program Management and Oversight	GAO-11-329	3/30/2011	To better ensure that requirements for tenant eligibility are met across the FLH portfolio, the Secretary of Agriculture should direct the Administrator of RHS to require its loan servicers to use the Systematic Alien Verification and Entitlements (SAVE) program administered by the Department of Homeland Security to verify tenant's residency status during supervisory reviews.	Open

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DA	Rural Housing Service: Opportunities Exist to Strengthen Farm Labor Housing Program Management and Oversight	GAO-11-329	3/30/2011	The Secretary of Agriculture should direct the Administrator of RHS to better utilize available data on demand for the FLH program--such as systematically reviewing local market analyses, further analyzing occupancy data on a statewide, regional, or national level, and retaining and analyzing application information--to help target available funding to areas of greatest need.	Open
DA	Foreclosure Mitigation: Agencies Could Improve Effectiveness of Federal Efforts with Additional Data Collection and Analysis	GAO-12-296	6/28/2012	In order to better ensure that servicers are effectively implementing the agency's loss mitigation programs and that distressed borrowers are receiving the assistance they need as early as possible before they become seriously delinquent, the Secretary of the Department of Agriculture should require servicers to report information about their efforts to reach distressed borrowers. For example, servicers could report on their efforts to reach borrowers and whether borrowers have responded to outreach from the servicer regarding early delinquency interventions and are receiving informal foreclosure mitigation actions.	Open
DA	Foreclosure Mitigation: Agencies Could Improve Effectiveness of Federal Efforts with Additional Data Collection and Analysis	GAO-12-296	6/28/2012	The Secretary of USDA should determine the extent to which distressed borrowers have not been reached and assess whether changes are needed to help ensure servicers are complying with USDA's loss mitigation requirements.	Open

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DA	Organizational Transformation: Enterprise Architecture Value Needs to Be Measured and Reported	GAO-12-791	9/26/2012	To enhance federal agencies' ability to realize enterprise architecture benefits, the Secretaries of the Departments of Agriculture, the Air Force, the Army, Commerce, Defense, Education, Energy, Homeland Security, the Interior, Labor, the Navy, State, Transportation, the Treasury, and Veterans Affairs; the Attorney General; the Administrators of the Environmental Protection Agency, General Services Administration, National Aeronautics and Space Administration, and Small Business Administration; the Commissioners of the Nuclear Regulatory Commission and Social Security Administration; and the Directors of the National Science Foundation and the Office of Personnel Management should fully establish an approach for measuring enterprise architecture outcomes, including a documented method (i.e., steps to be followed) and metrics that are measurable, meaningful, repeatable, consistent, actionable, and aligned with the agency's enterprise architecture's strategic goals and intended purpose.	Open
DA	Organizational Transformation: Enterprise Architecture Value Needs to Be Measured and Reported	GAO-12-791	9/26/2012	To enhance federal agencies' ability to realize enterprise architecture benefits, the Secretaries of the Departments of Agriculture, the Air Force, the Army, Commerce, Defense, Education, Energy, Homeland Security, the Interior, Labor, the Navy, State, Transportation, the Treasury, and Veterans Affairs; the Attorney General; the Administrators of the Environmental Protection Agency, General Services Administration, National Aeronautics and Space Administration, and Small Business Administration; the Commissioners of the Nuclear Regulatory Commission and Social Security Administration; and the Directors of the National Science Foundation and the Office of Personnel Management should periodically measure and	Open

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report enterprise architecture outcomes and benefits to top agency officials (i.e., executives with authority to commit resources or make changes to the program) and to OMB.

DA	Entrepreneurial Assistance: Opportunities Exist to Improve Programs' Collaboration, Data-Tracking, and Performance Management	GAO-12-819	8/23/2012	The Secretaries of the Departments of Agriculture, Commerce, and Housing and Urban Development, and the Administrator of the Small Business Administration should consistently collect information that would enable them to track the specific type of assistance programs provide and the entrepreneurs they serve and use this information to help administer their programs.	Open
DA	Entrepreneurial Assistance: Opportunities Exist to Improve Programs' Collaboration, Data-Tracking, and Performance Management	GAO-12-819	8/23/2012	The Secretaries of the Departments of Agriculture, Commerce, and Housing and Urban Development, and the Administrator of the Small Business Administration should conduct more program evaluations to better understand why programs have not met performance goals and their overall effectiveness.	Open
DA	Farm Programs: Additional Steps Needed to Help Prevent Payments to Participants Whose Incomes Exceed Limits	GAO-13-741	8/29/2013	To further improve agency controls that help prevent payments to participants whose incomes exceed eligibility limits, the Secretary of Agriculture should direct the Administrator of FSA to implement a process to verify that accountants' and attorneys' statements accurately reflect participants' incomes as reported on income tax returns and	Open

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				supporting documentation or other equivalent documents.	
DA	Native American Housing: Additional Actions Needed to Better Support Tribal Efforts	GAO-14-255	3/27/2014	To increase consistency and reduce time and predevelopment cost for NAHASDA grant recipients, an interagency effort similar to that of the federal infrastructure task force but specific to tribal housing should be initiated with participants from the Indian Health Service, HUD, Department of the Interior, and the U.S. Department of Agriculture to develop and implement a coordinated environmental review process for all agencies overseeing tribal housing development. In addition, the agencies should determine if it would be appropriate to designate a lead agency in this effort.	Open
DA	U.S. Department of Agriculture: Workforce Decisions Could Benefit from Better Linkage to Missions and Use of Leading Practices	GAO-14-288	3/31/2014	To ensure appropriate levels of employees' supervision and guidance for the workforces of USDA's service center agencies, consistent with OPM guidance, the Secretary of Agriculture, through the Chief Human Capital Officer, should revise departmental policy targeting a uniform supervisory ratio so that the service center agencies can identify appropriate supervisory ratios based on a documented analysis of their specific customer needs and workload distribution.	Open
DA	Computer Matching Act: OMB and Selected Agencies Need to Ensure Consistent Implementation	GAO-14-44	1/13/2014	To improve the implementation of the act, the Secretary of Agriculture should develop and implement policies and procedures for cost-benefit analyses related to computer matching agreements to include key elements such as personnel and computer costs, as well as avoidance of future improper payments and recovery of improper payments and debts.	Open

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DA	Information Technology: Additional OMB and Agency Actions Are Needed to Achieve Portfolio Savings	GAO-14-65	11/6/2013	To improve the department's implementation of PortfolioStat, as the department finalizes and matures its valuation methodology, the Secretary of Agriculture should direct the CIO to utilize this process to identify whether there are additional opportunities to reduce duplicative, low-value, or wasteful investments.	Open
DA	Hazardous Waste: Agencies Should Take Steps to Improve Information on USDA's and Interior's Potentially Contaminated Sites	GAO-15-35	1/16/2015	To ensure that USDA has the information needed to better identify potentially contaminated sites--particularly abandoned mines--on properties it manages and, thereby, help minimize possible risks to human health and the environment, the Secretary of Agriculture should direct the heads of the department's land management agencies to develop plans and procedures for completing their inventories of potentially contaminated sites.	Open
DA	Information Technology Reform: Billions of Dollars in Savings Have Been Realized, but Agencies Need to Complete Reinvestment Plans	GAO-15-617	9/15/2015	To improve the department's IT savings reinvestment plans, the Secretary of Agriculture should direct the CIO to ensure that the department's integrated data collection submission to OMB includes, for all reported initiatives, complete plans to reinvest any resulting cost savings and avoidances from OMB-directed IT reform-related efforts.	Open
DA	Managing for Results: Greater Transparency Needed in Public Reporting on the Quality of Performance Information for Selected Agencies' Priority Goals	GAO-15-788	9/10/2015	To improve the public reporting about how agencies are ensuring the quality of performance information used to measure progress towards their priority goals, the Secretaries of Agriculture, Defense, Homeland Security, Interior, and Labor, and the Administrator of NASA should more fully address GPRAMA requirements and OMB guidance by working with OMB to describe on Performance.gov how they are ensuring the quality of performance information used to measure progress towards their APGs.	Open

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DA	Managing for Results: Greater Transparency Needed in Public Reporting on the Quality of Performance Information for Selected Agencies' Priority Goals	GAO-15-788	9/10/2015	To improve the public reporting about how agencies are ensuring the quality of performance information used to measure progress towards their priority goals, the Secretaries of Agriculture, Defense, Interior, and Labor, and the Administrator of NASA should more fully address GPRAMA requirements and OMB guidance by describing in their agencies' annual performance plans and reports how they are ensuring the quality of performance information used to measure progress towards their APGs.	Open
DA	Hardrock Mining: BLM and Forest Service Have Taken Some Actions to Expedite the Mine Plan Review Process but Could Do More	GAO-16-165	2016-01-21T12:00:00Z	To ensure effective oversight, strengthen internal controls, and address challenges associated with the hardrock mine plan review process, the Secretary of Agriculture should direct the Chief of the Forest Service to issue a rule that establishes a fee structure for hardrock mine plan processing activities and request the authority from the Congress to retain any fees it collects.	Open
DA	USDA Administrative Services: Streamlining Efforts Ongoing, but Actions Needed to Monitor Progress, Identify Benefits, and Share Lessons Learned	GAO-16-168	3/15/2016	To strengthen USDA's ability to better manage and monitor the progress of the Blueprint, including efforts to streamline and improve administrative services, the Secretary of Agriculture should direct the Assistant Secretary for Administration to develop a complete list identifying all of the Blueprint efforts under way and document key information needed to monitor their progress, such as status of implementation, time frames for completion, and related performance measures. Recommendation 1	Open
DA	USDA Administrative Services: Streamlining Efforts Ongoing, but Actions Needed to Monitor Progress, Identify Benefits, and Share Lessons Learned	GAO-16-168	3/15/2016	To strengthen USDA's ability to better manage and monitor the progress of the Blueprint, including efforts to streamline and improve administrative services, the Secretary of Agriculture should direct the Assistant Secretary for Administration to reexamine the adequacy of the staff and budget resources committed to the day-to-day management	Open

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of the Blueprint, and further leverage existing departmental resources as needed.
Recommendation 2

DA	USDA Administrative Services: Streamlining Efforts Ongoing, but Actions Needed to Monitor Progress, Identify Benefits, and Share Lessons Learned	GAO-16-168	3/15/2016	To improve USDA's efforts to identify and track the benefits of the Blueprint, the Secretary of Agriculture should direct the Assistant Secretary for Administration to document the methodologies used to calculate any savings claimed for the Blueprint effort to ensure any such estimate is based on quality information. Recommendation 3	Open
DA	USDA Administrative Services: Streamlining Efforts Ongoing, but Actions Needed to Monitor Progress, Identify Benefits, and Share Lessons Learned	GAO-16-168	3/15/2016	To improve USDA's efforts to identify and track the benefits of the Blueprint, the Secretary of Agriculture should direct the Assistant Secretary for Administration to systematically identify and track nonfinancial benefits from USDA's Blueprint efforts to better gauge the Blueprint's progress and more fully report its results. Recommendation 5	Open
DA	USDA Administrative Services: Streamlining Efforts Ongoing, but Actions Needed to Monitor Progress, Identify Benefits, and Share Lessons Learned	GAO-16-168	3/15/2016	To enhance USDA's efforts to share lessons learned from the Blueprint, the Secretary of Agriculture should direct the Assistant Secretary for Administration to maintain and promote existing web-based collaboration tools, including keeping information in these tools current, for agencies and staff offices to report their experiences and lessons learned from their Blueprint efforts to help strengthen internal information sharing and inform future efforts. Recommendation 6	Open
DA	USDA Administrative Services: Streamlining Efforts Ongoing, but Actions Needed to Monitor Progress, Identify Benefits, and Share Lessons Learned	GAO-16-168	3/15/2016	To improve USDA's efforts to identify and track the benefits of the Blueprint, the Secretary of Agriculture should direct the Chief Financial Officer to develop a cost-effective method, using existing data systems, to collect and track USDA's spending	Open

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				on administrative services to identify baseline spending and target areas for future cost savings. Recommendation 4	
DA	Rural Housing Service: Actions Needed to Strengthen Management of the Single Family Mortgage Guarantee Program	GAO-16-193	3/31/2016	To improve compliance with OMB Circular A-129 standards and strengthen management and oversight of the guarantee program, and to enhance screening of loan guarantee applicants, the Secretary of Agriculture should direct the Undersecretary for Rural Development to complete steps to obtain access to Treasury's Do Not Pay portal and establish policies and procedures to deny loan guarantees to applicants who are subject to administrative offsets for delinquent child support payments. Recommendation 1	Open
DA	Rural Housing Service: Actions Needed to Strengthen Management of the Single Family Mortgage Guarantee Program	GAO-16-193	3/31/2016	To improve compliance with OMB Circular A-129 standards and strengthen management and oversight of the guarantee program, and to strengthen oversight of lenders and servicers, the Secretary of Agriculture should direct the Undersecretary for Rural Development to develop and publish in the Federal Register qualification requirements for the principal officers of lenders and servicers seeking initial or continued approval to participate in the guarantee program. Recommendation 2	Open
DA	Rural Housing Service: Actions Needed to Strengthen Management of the Single Family Mortgage Guarantee Program	GAO-16-193	3/31/2016	To improve compliance with OMB Circular A-129 standards and strengthen management and oversight of the guarantee program, and to strengthen oversight of lenders and servicers, the Secretary of Agriculture should direct the Undersecretary for Rural Development to develop and publish in the Federal Register capital and financial requirements for guarantee program	Open

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lenders that are not regulated by a federal financial institution regulatory agency. Recommendation 3

DA	Rural Housing Service: Actions Needed to Strengthen Management of the Single Family Mortgage Guarantee Program	GAO-16-193	3/31/2016	To improve compliance with OMB Circular A-129 standards and strengthen management and oversight of the guarantee program, and to strengthen risk assessment and reporting, the Secretary of Agriculture should direct the Undersecretary for Rural Development to improve performance measures comparing RHS and the Federal Housing Administration loan performance, potentially by making comparisons on a cohort basis and limiting comparisons to loans made in similar geographic areas. Recommendation 8	Open
DA	Rural Housing Service: Actions Needed to Strengthen Management of the Single Family Mortgage Guarantee Program	GAO-16-193	3/31/2016	To improve compliance with OMB Circular A-129 standards and strengthen management and oversight of the guarantee program, and to strengthen risk assessment and reporting, the Secretary of Agriculture should direct the Undersecretary for Rural Development to develop risk thresholds for the guarantee program, potentially in the form of maximum portfolio- or loan-level loss tolerances. Recommendation 9	Open
DA	Rural Housing Service: Actions Needed to Strengthen Management of the Single Family Mortgage Guarantee Program	GAO-16-193	3/31/2016	To improve compliance with OMB Circular A-129 standards and strengthen management and oversight of the guarantee program, and to more effectively fulfill the requirements for conducting program reviews described in OMB Circular A-129, the Secretary of Agriculture should direct the Undersecretary for Rural Development to develop procedures for selecting RD credit programs for review based on risk and establish a prioritized	Open

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schedule for conducting the reviews.
 Recommendation 11

DA	Bee Health: USDA and EPA Should Take Additional Actions to Address Threats to Bee Populations	GAO-16-220	2/10/2016	To improve the effectiveness of federal efforts to monitor wild, native bee populations, the Secretary of Agriculture, as a co-chair of the White House Pollinator Health Task Force, should coordinate with other Task Force agencies that have monitoring responsibilities to develop a mechanism, such as a federal monitoring plan, that would (1) establish roles and responsibilities of lead and support agencies, (2) establish shared outcomes and goals, and (3) obtain input from relevant stakeholders, such as states.	Open
DA	Bee Health: USDA and EPA Should Take Additional Actions to Address Threats to Bee Populations	GAO-16-220	2/10/2016	To better ensure the effectiveness of USDA's bee habitat conservation efforts, the Secretary of Agriculture should direct the Administrators of FSA and NRCS to, within available resources, increase evaluation of the effectiveness of their efforts to restore and enhance bee habitat plantings across the nation, including identifying gaps in expertise and technical assistance funding available to field offices.	Open
DA	Bee Health: USDA and EPA Should Take Additional Actions to Address Threats to Bee Populations	GAO-16-220	2016-02-10T12:00:00Z	To measure their contribution to the White House Pollinator Health Task Force strategy's goal to restore and enhance 7 million acres of pollinator habitat, the Secretary of Agriculture should direct the Administrators of the Farm Service Agency (FSA) and the Natural Resources Conservation Service (NRCS) to develop an improved method,	Open

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within available resources, to track conservation program acres that contribute to the goal.

DA	Data Center Consolidation: Agencies Making Progress, but Planned Savings Goals Need to Be Established [Reissued on March 4, 2016]	GAO-16-323	3/3/2016	The Secretaries of the Departments of Agriculture, Commerce, Defense, Education, Energy, Health and Human Services, Homeland Security, Housing and Urban Development, the Interior, Labor, State, Transportation, the Treasury, and Veterans Affairs; the Attorney General of the United States; the Administrators of the Environmental Protection Agency, General Services Administration, National Aeronautics and Space Administration, and U.S. Agency for International Development; the Director of the Office of Personnel Management; the Chairman of the Nuclear Regulatory Commission; and the Commissioner of the Social Security Administration should take action to improve progress in the data center optimization areas that we reported as not meeting OMB's established targets, including addressing any identified challenges. Recommendation 12	Open
DA	Information Technology: Federal Agencies Need to Address Aging Legacy Systems	GAO-16-468	5/25/2016	To address obsolete IT investments in need of modernization or replacement, the Secretaries of Agriculture, Commerce, Defense, Energy, Health and Human Services, Homeland Security, State, the Treasury, Transportation, and Veterans Affairs; the Attorney General; and the Commissioner of Social Security should direct their respective agency CIOs to identify and plan to modernize or replace legacy systems as needed and consistent with OMB's draft guidance, including time frames, activities to be performed, and functions to be replaced or enhanced. Recommendation 6	Open

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DA	Managing for Results: Agencies Need to Fully Identify and Report Major Management Challenges and Actions to Resolve them in their Agency Performance Plans	GAO-16-510	6/15/2016	To improve the public reporting of major management challenges and to ensure performance information is useful, transparent, and complete, the Secretary of Agriculture should describe the Department of Agriculture's (USDA) major management challenges and include performance goals, performance measures, milestones and an agency official responsible for resolving each of its major management challenges as part of USDA's agency performance plan.	Open
DA	Unauthorized Grazing: Actions Needed to Improve Tracking and Deterrence Efforts	GAO-16-559	7/7/2016	To improve the effectiveness of the Forest Service's efforts to track and deter unauthorized grazing, the Secretary of Agriculture should direct the Chief of the Forest Service to adopt an unauthorized grazing penalty structure that is based, similar to BLM's, on the current commercial value of forage. Recommendation 6	Open
DA	Critical Infrastructure Protection: Sector-Specific Agencies Need to Better Measure Cybersecurity Progress	GAO-16-79	2015-11-19T12:00:00Z	To better monitor and provide a basis for improving the effectiveness of cybersecurity risk mitigation activities, informed by the sectors' updated plans and in collaboration with sector stakeholders, the Secretaries of Agriculture and Health and Human Services (as co-SSAs) should direct responsible officials to develop performance metrics to provide data and determine how to overcome challenges to monitoring the food and agriculture sector's cybersecurity progress.	Open
DA	Agricultural Conservation: USDA's Environmental Quality Incentives Program Could Be Improved to Optimize Benefits	GAO-17-225	4/13/2017	To help achieve EQIP's purpose of optimizing environmental benefits, the Secretary of Agriculture should direct the Chief of the Natural Resources Conservation Service to direct EQIP program managers to coordinate with the leaders of USDA's CEAP to help ensure that CEAP studies consider the practical limitations and trade-offs faced by program managers and to provide program managers with better information to target EQIP	Open

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funds where they will optimize environmental benefits. Recommendation 1

DA	Agricultural Conservation: USDA's Environmental Quality Incentives Program Could Be Improved to Optimize Benefits	GAO-17-225	4/13/2017	To help achieve EQIP's purpose of optimizing environmental benefits, the Secretary of Agriculture should direct the Chief of the Natural Resources Conservation Service to revise guidance on state offices' EQIP allocation processes, stipulating that data on environmental concerns, where available, should be a primary factor influencing allocations within states. Recommendation 2	Open
DA	Agricultural Conservation: USDA's Environmental Quality Incentives Program Could Be Improved to Optimize Benefits	GAO-17-225	4/13/2017	To help achieve EQIP's purpose of optimizing environmental benefits, the Secretary of Agriculture should direct the Chief of the Natural Resources Conservation Service to establish a review process at the regional level for review and concurrence of EQIP payment rates above a threshold (e.g., rates greater than 50 percent, with justification). Recommendation 3	Open
DA	Agricultural Conservation: USDA's Environmental Quality Incentives Program Could Be Improved to Optimize Benefits	GAO-17-225	4/13/2017	To help achieve EQIP's purpose of optimizing environmental benefits, the Secretary of Agriculture should direct the Chief of the Natural Resources Conservation Service to modify guidance and ranking tools so that they more accurately value an EQIP application's anticipated environmental benefits relative to estimated costs. Recommendation 4	Open

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DA	Rural Broadband Deployment: Improved Consistency with Leading Practices Could Enhance Management of Loan and Grant Programs	GAO-17-301	4/13/2017	To improve RUS's management of the Infrastructure Program, Broadband Program, and Community Connect by more closely following leading practices for broadband loan- and grant-program management, the Secretary of Agriculture should direct RUS to establish and implement procedures to conduct a risk assessment of each program, including an examination of risk at both the programmatic and portfolio level for each program. Recommendation 2	Open
DA	Rural Broadband Deployment: Improved Consistency with Leading Practices Could Enhance Management of Loan and Grant Programs	GAO-17-301	4/13/2017	To improve RUS's management of the Infrastructure Program, Broadband Program, and Community Connect by more closely following leading practices for broadband loan- and grant-program management, the Secretary of Agriculture should direct RUS to establish and implement procedures to conduct periodic evaluations of completed grant projects to determine the outcomes associated with these projects, and analyze the information gained to assess if any programmatic changes are needed to improve the Community Connect program. Recommendation 3	Open
DA	Rural Broadband Deployment: Improved Consistency with Leading Practices Could Enhance Management of Loan and Grant Programs	GAO-17-301	2017-04-13T12:00:00Z	To improve RUS's management of the Infrastructure Program, Broadband Program, and Community Connect by more closely following leading practices for broadband loan- and grant-program management, the Secretary of Agriculture should direct RUS to establish a timeline for implementing a centralized internal system for staff to obtain relevant and timely program data for use in managing and monitoring loans and grant awards. Recommendation 4	Open

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DA	Rural Broadband Deployment: Improved Consistency with Leading Practices Could Enhance Management of Loan and Grant Programs	GAO-17-301	4/13/2017	To improve RUS's management of the Infrastructure Program, Broadband Program, and Community Connect by more closely following leading practices for broadband loan- and grant-program management, the Secretary of Agriculture should direct RUS to develop, update, and maintain complete written policies and procedures for RUS's programs as a way to retain and communicate organizational knowledge internally among agency staff. RUS should determine the critical documentation that should be created or updated, including considering documentation such as loan-application review guidance and employee manuals for each of the three programs. Recommendation 5	Open
DA	Data Center Optimization: Agencies Need to Address Challenges and Improve Progress to Achieve Cost Savings Goal	GAO-17-448	8/15/2017	The Secretaries of Agriculture, Commerce, Defense, Homeland Security, Energy, HHS, Interior, Labor, State, Transportation, Treasury, and VA; the Attorney General of the United States; the Administrators of EPA, GSA, and SBA; the Director of OPM; and the Chairman of NRC should take action to, within existing OMB reporting mechanisms, complete plans describing how the agency will achieve OMB's requirement to implement automated monitoring tools at all agency-owned data centers by the end of fiscal year 2018.	Open
DA	Telecommunications: Agencies Need to Apply Transition Planning Practices to Reduce Potential Delays and Added Costs	GAO-17-464	9/21/2017	The Secretary of Agriculture should ensure that the Department's Chief Information Officer verifies the completeness of its inventory of current telecommunications assets and services and establishes a process for ongoing maintenance of the inventory. (Recommendation 2)	Open

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DA	Telecommunications: Agencies Need to Apply Transition Planning Practices to Reduce Potential Delays and Added Costs	GAO-17-464	9/21/2017	The Secretary of Agriculture should ensure that the Department's Chief Information Officer completes efforts to identify future telecommunications needs and areas for optimization, identifies the costs and benefits of new technology, and aligns USDA's approach with its long-term plans. (Recommendation 3)	Open
DA	Telecommunications: Agencies Need to Apply Transition Planning Practices to Reduce Potential Delays and Added Costs	GAO-17-464	9/21/2017	The Secretary of Agriculture should ensure that the Department's Chief Information Officer identifies transition-related roles and responsibilities related to the management of assets, human capital, and information security, and legal expertise; develops a transition communications plan; and uses configuration and change-management processes in USDA's transition. (Recommendation 4)	Open
DA	Telecommunications: Agencies Need to Apply Transition Planning Practices to Reduce Potential Delays and Added Costs	GAO-17-464	9/21/2017	The Secretary of Agriculture should ensure that the Department's Chief Information Officer documents the costs and benefits of transition investments, identifies staff resources needed for the remainder of the transition, and analyzes training needs for staff assisting with the transition. (Recommendation 5)	Open
DA	Telecommunications: Agencies Need to Apply Transition Planning Practices to Reduce Potential Delays and Added Costs	GAO-17-464	9/21/2017	The Secretary of Agriculture should ensure that the Department's Chief Information Officer demonstrates that the Department's transition goals and measures align with its mission, identifies transition risks related to critical systems and continuity of operations, and identifies mission-critical priorities in USDA's transition timeline. (Recommendation 6)	Open

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DA	Crop Insurance: Opportunities Exist to Improve Program Delivery and Reduce Costs	GAO-17-501	7/26/2017	To reduce year-to-year fluctuations in the administrative and operating expense subsidies that companies receive at the crop, state, and county levels, the Secretary of Agriculture should direct the Administrator of the Risk Management Agency to consider adjusting the administrative and operating expense subsidy calculation method in a way that reduces the effects of changes in premiums caused by changes in crop prices or other factors when it renegotiates the standard reinsurance agreement.	Open
DA	Small Business Contracting: Actions Needed to Demonstrate and Better Review Compliance with Select Requirements for Small Business Advocates	GAO-17-675	8/25/2017	To address demonstrated noncompliance with section 15(k) of the Small Business Act, as amended, the Secretary of Agriculture should comply with sections 15(k)(2), (k)(15), and (k)(17) or report to Congress on why the agency has not complied, including seeking any statutory flexibilities or exceptions believed appropriate.	Open
DA	Information Technology Reform: Agencies Need to Improve Certification of Incremental Development	GAO-18-148	11/7/2017	The Secretary of Agriculture should ensure that the CIO of USDA establishes an agency-wide policy and process for the CIO's certification of major IT investments' adequate use of incremental development, in accordance with OMB's guidance on the implementation of FITARA, and confirm that it includes: a description of the CIO's role in the certification process; a description of how CIO certification will be documented; and a definition of incremental development and time frames for delivering functionality, consistent with OMB guidance. (Recommendation 9)	Open
DA	Food Safety: Federal Efforts to Manage the Risk of Arsenic in Rice	GAO-18-199	3/16/2018	The Secretary of Agriculture should work with FDA to develop a mechanism to coordinate the development of methods to detect contaminants in food, including arsenic in rice. (Recommendation 5)	Open

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DA	Critical Infrastructure Protection: Additional Actions Are Essential for Assessing Cybersecurity Framework Adoption	GAO-18-211	2/15/2018	The Secretary of Agriculture, in cooperation with the Secretary of Health and Human Services, should take steps to consult with respective sector partner(s), such as the sector coordinating council (SCC), Department of Homeland Security (DHS) and NIST, as appropriate, to develop methods for determining the level and type of framework adoption by entities across their respective sector. (Recommendation 1)	Open
DA	Older Adult Housing: Future Collaborations on Housing and Health Services Should Include Relevant Agencies and Define Outcomes	GAO-18-232	4/26/2018	The Assistant to the Secretary for Rural Development should work with HUD and HHS to define common outcomes and identify opportunities to include USDA in future collaborative efforts on older adult housing and health services. (Recommendation 3)	Open
DA	Drinking Water and Wastewater Infrastructure: Opportunities Exist to Enhance Federal Agency Needs Assessment and Coordination on Tribal Projects	GAO-18-309	5/15/2018	The Assistant to the Secretary of Agriculture for Rural Development should implement a scoring factor that awards points for proposed Native American program grant projects that address health risks from a lack of access to safe drinking water and wastewater disposal, as it does with the Colonias grant program. (Recommendation 4)	Open
DA	Drinking Water and Wastewater Infrastructure: Opportunities Exist to Enhance Federal Agency Needs Assessment and Coordination on Tribal Projects	GAO-18-309	5/15/2018	The Assistant to the Secretary of Agriculture for Rural Development should ensure that all Rural Alaska Village grants are awarded only to recipients authorized by law or seek authority to award grants to municipalities and Alaska Native villages. (Recommendation 5)	Open
DA	Drinking Water and Wastewater Infrastructure: Opportunities Exist to Enhance Federal Agency Needs Assessment and Coordination on Tribal Projects	GAO-18-309	5/15/2018	The Assistant to the Secretary of Agriculture for Rural Development should amend the Rural Alaska Village Grant program regulations so that they are consistent with USDA's authority. (Recommendation 6)	Open

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DA	Drinking Water and Wastewater Infrastructure: Opportunities Exist to Enhance Federal Agency Needs Assessment and Coordination on Tribal Projects	GAO-18-309	5/15/2018	The Assistant to the Secretary of Agriculture for Rural Development, in cooperation with other members of the tribal infrastructure task force, should review the 2011 task force report and identify and implement additional actions to help increase the task force's collaboration at the national level. (Recommendation 9)	Open
DA	Drinking Water and Wastewater Infrastructure: Opportunities Exist to Enhance Federal Agency Needs Assessment and Coordination on Tribal Projects	GAO-18-309	5/15/2018	The Assistant to the Secretary of Agriculture for Rural Development, in cooperation with other members of the tribal infrastructure task force, should direct USDA state offices to identify and pursue additional mechanisms to increase their collaboration. (Recommendation 13)	Open
DA	Paperwork Reduction Act: Agencies Could Better Leverage Review Processes and Public Outreach to Improve Burden Estimates	GAO-18-381	7/11/2018	The Secretary of Agriculture should review the policies, procedures, and related control activities to ensure that the agency's Paperwork Reduction Act review process is operating effectively. (Recommendation 3)	Open
DA	Information Technology: Agencies Need to Involve Chief Information Officers in Reviewing Billions of Dollars in Acquisitions	GAO-18-42	1/10/2018	The Secretary of Agriculture should ensure that IT acquisition plans or strategies are reviewed and approved according to OMB's guidance. (Recommendation 3)	Open
DA	Grants Workforce: Actions Needed to Ensure Staff Have Skills to Administer and Oversee Federal Grants	GAO-18-491	9/20/2018	The Secretary of USDA should establish a process to monitor and evaluate USDA's grants training at the central office level. This process should include (1) a method for identifying all employees working on grants across the agency, and (2) oversight procedures to evaluate the sufficiency of sub-agencies' grant-training efforts including the incorporation of leading practices related to assessing competencies, training approaches, accountability, and training results. (Recommendation 4)	Open

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DA	Tribal Broadband: Few Partnerships Exist and the Rural Utilities Service Needs to Identify and Address Any Funding Barriers Tribes Face	GAO-18-682	9/28/2018	The Secretary of Agriculture should direct the Administrator of RUS to undertake an assessment to identify any regulatory barriers that may unduly impede efforts by tribes to obtain RUS federal grant funds for broadband deployment on tribal lands and implement any steps necessary to address the identified barriers. (Recommendation 1)	Open
DA	Federal Facility Security: Selected Agencies Should Improve Methods for Assessing and Monitoring Risk	GAO-18-72	10/26/2017	To improve agencies' physical security programs' alignment with the ISC <i>Risk Management Process for Federal Facilities and Standards for Internal Control in the Federal Government</i> for information and monitoring, the Secretary of Agriculture should include data collection and analysis requirements for monitoring the performance of agencies' physical security programs, in the department's revised physical-security manual.	Open
DA	Federal Facility Security: Selected Agencies Should Improve Methods for Assessing and Monitoring Risk	GAO-18-72	10/26/2017	To improve agencies' physical security programs' alignment with the ISC <i>Risk Management Process for Federal Facilities and Standards for Internal Control in the Federal Government</i> for information and monitoring, the Secretary of Agriculture should direct the Administrator of the Agricultural Research Service and the Chief of the Forest Service to implement and monitor a long-term assessment schedule with key milestones to ensure that higher-level facilities are reassessed at least once every 3 years.	Open
DA	Federal Chief Information Officers: Critical Actions Needed to Address Shortcomings and Challenges in Implementing Responsibilities	GAO-18-93	8/2/2018	The Secretary of Agriculture should ensure that the department's IT management policies address the role of the CIO for key responsibilities in the six areas we identified. (Recommendation 4)	Open

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DA	Cybersecurity Workforce: Agencies Need to Accurately Categorize Positions to Effectively Identify Critical Staffing Needs	GAO-19-144	3/12/2019	To complete the appropriate assignment of codes to their positions performing IT, cybersecurity, or cyber-related functions, in accordance with the requirements of the Federal Cybersecurity Workforce Assessment Act of 2015, the Secretary of Agriculture should take steps to review the assignment of the "000" code to any positions in the department in the 2210 IT management occupational series and assign the appropriate National Initiative for Cybersecurity Education (NICE) framework work role codes. (Recommendation 1)	Open
DA	Department of Agriculture: Analysis of Selected Data Centers Did Not Follow Federal Guidance and Leading Practices	GAO-19-146R	12/19/2018	The Secretary of Agriculture should amend its analysis of selected data centers to address key elements of a cost-benefit and cost-effectiveness analysis as defined by OMB Circular A-94 and relevant agency guidance. (Recommendation 1)	Open
DA	Department of Agriculture: Analysis of Selected Data Centers Did Not Follow Federal Guidance and Leading Practices	GAO-19-146R	12/19/2018	When amending its analysis of the selected data centers, the Secretary of Agriculture should report on the assessment of each facility's protective measures, as outlined by the Interagency Security Committee guidance. (Recommendation 2)	Open
DA	Department of Agriculture: Analysis of Selected Data Centers Did Not Follow Federal Guidance and Leading Practices	GAO-19-146R	12/19/2018	When amending its analysis of the selected data centers, the Secretary of Agriculture should report on an analysis of the information security controls for each data center, in order to evaluate the data center's information security capabilities. (Recommendation 3)	Open
DA	Department of Agriculture: Analysis of Selected Data Centers Did Not Follow Federal Guidance and Leading Practices	GAO-19-146R	12/19/2018	When amending its analysis of the selected data centers, the Secretary of Agriculture should report on each data center's demonstrated history of restoring continuity of operation functions in the event of a service disruption. (Recommendation 4)	Open
DA	Data Center Optimization: Additional Agency Actions Needed to Meet OMB Goals	GAO-19-241	4/11/2019	The Secretary of Agriculture should take action to meet the data center optimization metric targets	Open

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				established by OMB under DCOI. (Recommendation 1)	
DA	Cybersecurity: Agencies Need to Fully Establish Risk Management Programs and Address Challenges	GAO-19-384	7/25/2019	The Secretary of Agriculture should develop a cybersecurity risk management strategy that includes the key elements identified in this report. (Recommendation 2)	Open
DA	Cybersecurity: Agencies Need to Fully Establish Risk Management Programs and Address Challenges	GAO-19-384	7/25/2019	The Secretary of Agriculture should update the department's policies to require (1) the use of risk assessments to inform security control tailoring and (2) the use of risk assessments to inform plan of actions and milestones (POA&M) prioritization. (Recommendation 3)	Open
DA	Cybersecurity: Agencies Need to Fully Establish Risk Management Programs and Address Challenges	GAO-19-384	7/25/2019	The Secretary of Agriculture should establish and document a process for coordination between cybersecurity risk management and enterprise risk management functions. (Recommendation 4)	Open
DA	Food Loss and Waste: Building on Existing Federal Efforts Could Help to Achieve National Reduction Goal	GAO-19-391	6/21/2019	The Secretary of Agriculture should work with Administrator of EPA and Commissioner of FDA to incorporate leading collaboration practices as they implement their interagency FLW reduction strategic plan, to include (1) agreeing on roles and responsibilities; (2) developing mechanisms to monitor, evaluate, and report on results; (3) clearly defining short- and long-term outcomes; (4) identifying how leadership commitment will be sustained; and (5) ensuring that the relevant stakeholders have been included in the collaborative effort. (Recommendation 3)	Open
DA	Date Labels on Packaged Foods: USDA and FDA Could Take Additional Steps to Reduce Consumer Confusion	GAO-19-407	9/9/2019	The Secretary of Agriculture should work with the Commissioner of FDA to develop a mechanism to facilitate coordination with relevant nonfederal stakeholders, including state, local, and tribal governments, on actions related to date labels as part of their efforts to reduce food loss and waste. (Recommendation 1)	Open

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DA	Hardrock Mining: BLM and Forest Service Hold Billions in Financial Assurances, but More Readily Available Information Could Assist with Monitoring	GAO-19-436R	9/18/2019	The Secretary of Agriculture should direct the Forest Service to develop a centralized mechanism to record the current estimated cost of reclamation for a mining operation so that this information is readily available for comparison with the financial assurance held. (Recommendation 2)	Open
DA	Hardrock Mining: BLM and Forest Service Hold Billions in Financial Assurances, but More Readily Available Information Could Assist with Monitoring	GAO-19-436R	9/18/2019	The Secretary of Agriculture should direct the Forest Service to require forest and district offices to enter the date of the last review in the Natural Resource Manager data system. (Recommendation 3)	Open
DA	Foreign Assistance: Federal Monitoring and Evaluation Guidelines Incorporate Most but Not All Leading Practices	GAO-19-466	7/31/2019	The Secretary of Agriculture, in collaboration with the Foreign Agriculture Service, should update their monitoring and evaluation policies to require USDA to conduct impact evaluations on all pilot programs before replicating or expanding, or conduct performance evaluations for those programs and provide a justification for not conducting an impact evaluation. (Recommendation 7)	Open
DA	Environmental Justice: Federal Efforts Need Better Planning, Coordination, and Methods to Assess Progress	GAO-19-543	9/16/2019	The Secretary of Agriculture should issue a progress report on the department's environmental justice efforts each year. (Recommendation 10)	Open
DA	Nutrition Education: USDA Actions Needed to Assess Effectiveness, Coordinate Programs, and Leverage Expertise	GAO-19-572	7/25/2019	The Administrator of the Food and Nutrition Service (FNS) should improve how FNS gathers information on the effectiveness of SNAP-Ed interventions, in order to ensure that these interventions are meeting program goals. (Recommendation 1)	Open
DA	Nutrition Education: USDA Actions Needed to Assess Effectiveness, Coordinate Programs, and Leverage Expertise	GAO-19-572	7/25/2019	The Secretary of Agriculture should direct the Under Secretaries for Food, Nutrition, and Consumer Services and for Research, Education, and Economics to develop a formal mechanism, such as a designated individual or group of individuals, for providing cross-department leadership for USDA's nutrition education efforts	Open

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and facilitating cross-program information sharing.
(Recommendation 2)

DA	Nutrition Education: USDA Actions Needed to Assess Effectiveness, Coordinate Programs, and Leverage Expertise	GAO-19-572	7/25/2019	The Secretary of Agriculture should direct the Under Secretaries for Food, Nutrition, and Consumer Services and for Research, Education, and Economics to identify and implement mechanisms to fully leverage the department's nutrition expertise for its nutrition education efforts. (Recommendation 3)	Open
DA	Cloud Computing: Agencies Have Increased Usage and Realized Benefits, but Cost and Savings Data Need to Be Better Tracked	GAO-19-58	4/4/2019	The Secretary of Agriculture should ensure that the chief information officer (CIO) of Agriculture completes an assessment of all IT investments for suitability for migration to a cloud computing service, in accordance with OMB guidance. (Recommendation 2)	Open
DA	Cloud Computing: Agencies Have Increased Usage and Realized Benefits, but Cost and Savings Data Need to Be Better Tracked	GAO-19-58	4/4/2019	The Secretary of Agriculture should ensure that the CIO of Agriculture establishes a consistent and repeatable mechanism to track savings and cost avoidances from the migration and deployment of cloud services. (Recommendation 3)	Open
DA	Federal Property: Improved Monitoring, Oversight, and Data Would Help Understand Effects of Providing Property to Non-Federal Recipients	GAO-20-101	12/20/2019	The Secretary of Agriculture should direct the Office of Property and Fleet Management to consistently monitor property provided to non-federal recipients within 1 year of receipt, and to ensure property is being used for its intended purpose 1 year after initial monitoring. (Recommendation 1)	Open
DA	Federal Property: Improved Monitoring, Oversight, and Data Would Help Understand Effects of Providing Property to Non-Federal Recipients	GAO-20-101	12/20/2019	The Secretary of Agriculture should direct the Office of Property and Fleet Management to establish clear processes to oversee property programs, including excess property provided to non-federal recipients across the agency. (Recommendation 4)	Open

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DA	Information Technology: Agencies Need to Fully Implement Key Workforce Planning Activities	GAO-20-129	10/30/2019	The Secretary of Agriculture should ensure that the agency fully implements each of the eight key IT workforce planning activities it did not fully implement. (Recommendation 1)	Open
DA	Federal Research: Additional Actions Needed to Improve Public Access to Research Results	GAO-20-81	11/21/2019	The Secretary of Agriculture should complete development of guidance and provide training to agency officials or others involved in reviewing the merits of researchers' data management plans. (Recommendation 12)	Open
DA	Federal Research: Additional Actions Needed to Improve Public Access to Research Results	GAO-20-81	11/21/2019	The Secretary of Agriculture should develop and implement a mechanism to ensure researcher compliance with the public access plan and associated requirements. (Recommendation 21)	Open
FAS	Foreign Assistance: Agencies Can Improve the Quality and Dissemination of Program Evaluations	GAO-17-316	3/3/2017	To improve the reliability and usefulness of program evaluations for agency program and budget decisions, the Chief Executive Officer of MCC, the Administrator of USAID, the Secretary of Agriculture, the Secretary of Defense, the Secretary of State, and the Secretary of Health and Human Services (in cooperation with State's Office of the U.S. Global AIDS Coordinator and Health Diplomacy) should each develop a plan for improving the quality of evaluations for the programs included in our review, focusing on areas where our analysis has shown the largest areas for potential improvement. Recommendation 2	Open
FAS	Foreign Assistance: Agencies Can Improve the Quality and Dissemination of Program Evaluations	GAO-17-316	3/3/2017	To better ensure that the evaluation findings reach their intended audiences and are available to facilitate incorporating lessons learned into future program design or budget decisions, the Secretary of Agriculture should implement guidance and procedures for making FAS evaluations available online and searchable on a single website that can be accessed by the general public. Recommendation 10	Open

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FAS	International Food Assistance: Agencies Should Ensure Timely Documentation of Required Market Analyses and Assess Local Markets for Program Effects	GAO-17-640	7/13/2017	To ensure the transparency and accountability of USDA's selection of countries to receive in-kind food aid, the Secretary of Agriculture should develop guidance to require documentation of the reasons for providing funding to countries that were not on the priority list. Recommendation 5	Open
FAS	International Food Assistance: Agencies Should Ensure Timely Documentation of Required Market Analyses and Assess Local Markets for Program Effects	GAO-17-640	7/13/2017	To help ensure that, consistent with the Bellmon amendment, the provision of U.S. in-kind food aid does not result in a substantial disincentive to, or interference with, domestic production or marketing in countries receiving in-kind food aid, the Secretary of Agriculture should monitor markets during implementation of McGovern-Dole projects to identify any potential negative effects, such as unusual changes in prices. Recommendation 7	Open
FAS	International Food Assistance: Agencies Should Ensure Timely Documentation of Required Market Analyses and Assess Local Markets for Program Effects	GAO-17-640	7/13/2017	To help ensure that, consistent with the Bellmon amendment, the provision of U.S. in-kind food aid does not result in a substantial disincentive to, or interference with, domestic production or marketing in countries receiving in-kind food aid, the Secretary of Agriculture should evaluate markets after the completion of McGovern-Dole and Food for Progress projects to determine whether markets were negatively affected during project implementation or after project completion. Recommendation 8	Open
FAS	Inter-American Organizations: U.S. Agencies Support Oversight Mechanisms but Could Enhance Their Monitoring of U.S. Assistance Agreements	GAO-18-219	12/29/2017	The Secretary of Agriculture should ensure that USDA includes all key monitoring provisions specified by applicable guidance as part of agreements with inter-American organizations. (Recommendation 2)	Open
FAS	Inter-American Organizations: U.S. Agencies Support Oversight Mechanisms but Could Enhance Their Monitoring of U.S. Assistance Agreements	GAO-18-219	12/29/2017	The Secretary of Agriculture should ensure that USDA officials have full documentation of required monitoring activities in agreements with inter- American organizations. (Recommendation 3)	Open

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FAS	Tobacco Exports: Agencies Have Implemented Restrictions on Promoting Tobacco Overseas but Additional Actions Could Strengthen Their Efforts	GAO-19-124	12/6/2018	The Secretary of Agriculture should include information about the funding restrictions and relevant guidance on promoting the sale or export of tobacco or tobacco products in training materials for employees conducting export promotion activities overseas. (Recommendation 2)	Open
FNS	Supplemental Nutrition Assistance Program: More Information on Promising Practices Could Enhance States' Use of Data Matching for Eligibility	GAO-17-111	10/19/2016	The Secretary of Agriculture should take additional steps to collect and disseminate information on promising practices that could help improve data matching processes among state SNAP agencies, including broad and timely dissemination of information on results of recent relevant pilots or demonstrations.	Open
FNS	Single Audits: Improvements Needed in Selected Agencies' Oversight of Federal Awards	GAO-17-159	2/16/2017	The Secretary of Agriculture should direct the Under Secretary for Food, Nutrition, and Consumer Services to design policies and procedures to reasonably assure that all award recipients required to submit single audit reports do so in accordance with OMB guidance. Recommendation 1	Open
FNS	Single Audits: Improvements Needed in Selected Agencies' Oversight of Federal Awards	GAO-17-159	2/16/2017	The Secretary of Agriculture should direct the Under Secretary for Food, Nutrition, and Consumer Services to revise policies and procedures to reasonably assure that management decisions contain the required elements and are issued timely in accordance with OMB guidance. Recommendation 2	Open
FNS	Single Audits: Improvements Needed in Selected Agencies' Oversight of Federal Awards	GAO-17-159	2/16/2017	The Secretary of Agriculture should direct the Under Secretary for Food, Nutrition, and Consumer Services to design and implement policies and procedures for identifying and managing high-risk and recurring single audit findings using a risk-based approach. Recommendation 3	Open
FNS	Single Audits: Improvements Needed in Selected Agencies' Oversight of Federal Awards	GAO-17-159	2/16/2017	The Secretary of Agriculture should direct the Under Secretary for Rural Development to design policies and procedures to reasonably assure that all award recipients required to submit single audit	Open

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				reports do so in accordance with OMB guidance. Recommendation 4	
FNS	Single Audits: Improvements Needed in Selected Agencies' Oversight of Federal Awards	GAO-17-159	2/16/2017	The Secretary of Agriculture should direct the Under Secretary for Rural Development to revise policies and procedures to reasonably assure that management decisions contain the required elements and are issued timely in accordance with OMB guidance. Recommendation 5	Open
FNS	Single Audits: Improvements Needed in Selected Agencies' Oversight of Federal Awards	GAO-17-159	2/16/2017	The Secretary of Agriculture should direct the Under Secretary for Rural Development to design and implement policies and procedures for identifying and managing high-risk and recurring single audit findings using a risk-based approach. Recommendation 6	Open
FNS	Summer Meals: Actions Needed to Improve Participation Estimates and Address Program Challenges	GAO-18-369	5/31/2018	The Administrator of the Food and Nutrition Service (FNS) should improve its estimate of children's participation in the SFSP by focusing on addressing, at a minimum, data reliability issues caused by variations in the number of operating days of meal sites and in the months in which states see the greatest number of meals served. (Recommendation 1)	Open
FNS	Summer Meals: Actions Needed to Improve Participation Estimates and Address Program Challenges	GAO-18-369	5/31/2018	The Administrator of FNS should communicate to all SFSP stakeholders the circumstances it considers in approving requests for flexibility with respect to the requirement that children consume SFSP meals on-site in areas that have experienced crime and violence, taking into account the feasibility of accessing data needed for approval, to ensure safe delivery of meals to children. (Recommendation 2)	Open

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FNS	Summer Meals: Actions Needed to Improve Participation Estimates and Address Program Challenges	GAO-18-369	5/31/2018	The Administrator of FNS should evaluate and annually report to Congress, as required by statute, on its use of waivers and demonstration projects to grant states and sponsors flexibility with respect to the requirement that children consume SFSP meals on-site in areas experiencing crime or violence, to improve its understanding of the use and impact of granting these flexibilities on meeting program goals. (Recommendation 3)	Open
FNS	Summer Meals: Actions Needed to Improve Participation Estimates and Address Program Challenges	GAO-18-369	5/31/2018	The Administrator of FNS should disseminate information about existing flexibilities available to state agencies to streamline administrative requirements for sponsors participating in the SFSP and other child nutrition programs to help lessen the administrative burden. For example, FNS could re-distribute existing guidance to state agencies that explains available flexibilities and encourage information sharing. (Recommendation 4)	Open
FNS	Supplemental Nutrition Assistance Program: Disseminating Information on Successful Use of Data Analytics Could Help States Manage Fraud Risks	GAO-19-115	10/2/2018	Building on ongoing efforts, the Administrator of FNS should develop and implement additional methods to widely distribute information to state agencies on an ongoing basis about successful efforts to adopt data analytics and strategies to leverage existing data, technology, and staff resources to enhance data analytics. (Recommendation 1)	Open
FNS	Supplemental Nutrition Assistance Program: Actions Needed to Better Measure and Address Retailer Trafficking	GAO-19-167	12/14/2018	The Administrator of FNS should present the uncertainty around its retailer trafficking estimates in future reports by, for example, including the full range of the estimates in the report body and executive summary. (Recommendation 1)	Open
FNS	Supplemental Nutrition Assistance Program: Actions Needed to Better Measure and Address Retailer Trafficking	GAO-19-167	12/14/2018	The Administrator of FNS should establish performance measures for its trafficking prevention activities. (Recommendation 5)	Open

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FNS	Supplemental Nutrition Assistance Program: Actions Needed to Better Measure and Address Retailer Trafficking	GAO-19-167	12/14/2018	The Administrator of FNS should continue efforts to improve the agency's retailer trafficking estimates by evaluating (1) whether the factors used to identify stores for possible investigation could help address the bias in its sample, and (2) the accuracy of its assumption of the percentage of SNAP benefits that are trafficked by different types of stores. (Recommendation 2)	Open
FNS	Supplemental Nutrition Assistance Program: Actions Needed to Better Measure and Address Retailer Trafficking	GAO-19-167	12/14/2018	The Administrator of FNS should assess the benefits and costs of reauthorizing a sample of high-risk stores more frequently than other stores, use the assessment to determine the appropriate scope and time frames for reauthorizing high-risk stores moving forward, and document this decision in policy and on its website. (Recommendation 3)	Open
FNS	Supplemental Nutrition Assistance Program: Actions Needed to Better Measure and Address Retailer Trafficking	GAO-19-167	12/14/2018	The Administrator of FNS should move forward with plans to increase penalties for retailer trafficking. (Recommendation 4)	Open
FNS	School Meals Programs: USDA Has Reported Taking Some Steps to Reduce Improper Payments but Should Comprehensively Assess Fraud Risks	GAO-19-389	5/21/2019	The Administrator of the Food and Nutrition Service should establish a process to plan and conduct regular fraud risk assessments for the school meals programs that align with the leading practices in the Fraud Risk Framework. (Recommendation 1)	Open
FNS	Supplemental Nutrition Assistance Program: More Complete and Accurate Information Needed on Employment and Training Programs	GAO-19-56	11/20/2018	The Administrator of FNS should take additional steps to assist states in leveraging available workforce development system resources. Such steps should include ensuring that state SNAP E&T plans provide the agency with sufficient information to verify that states have assessed available workforce development system providers. (Recommendation 4)	Open

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FNS	Supplemental Nutrition Assistance Program: More Complete and Accurate Information Needed on Employment and Training Programs	GAO-19-56	11/20/2018	The Administrator of FNS should take additional steps to address data reliability issues in the state-reported data on SNAP E&T participant characteristics and outcomes, including steps to address imprecise instructions on data collection forms and staff confusion at the state level. (Recommendation 2)	Open
FNS	Supplemental Nutrition Assistance Program: More Complete and Accurate Information Needed on Employment and Training Programs	GAO-19-56	11/20/2018	The Administrator of the Food and Nutrition Service (FNS) should identify and disseminate strategies to states and service providers for increasing the participation of SNAP recipients referred to the SNAP E&T program. (Recommendation 1)	Open
FNS	Supplemental Nutrition Assistance Program: More Complete and Accurate Information Needed on Employment and Training Programs	GAO-19-56	11/20/2018	The Administrator of FNS should determine and communicate to states how the agency will use newly reported outcome and participant characteristics data to assess the effectiveness of state SNAP E&T programs. (Recommendation 3)	Open
FNS	Food Insecurity: Better Information Could Help Eligible College Students Access Federal Food Assistance Benefits	GAO-19-95	12/21/2018	The Administrator of FNS should make information on their website regarding student SNAP eligibility requirements easier to understand and more accessible, as a resource for colleges and state SNAP agencies. (Recommendation 1)	Open
FNS	Food Insecurity: Better Information Could Help Eligible College Students Access Federal Food Assistance Benefits	GAO-19-95	12/21/2018	The Administrator of FNS should coordinate with its regional offices to collect and review information about existing SNAP flexibilities and examples of approaches state SNAP agencies are taking to assist eligible college students to access SNAP benefits, and share such information with state SNAP agencies. (Recommendation 2)	Open
FNS	Nutrition Assistance Programs: Agencies Could Do More to Help Address the Nutritional Needs of Older Adults	GAO-20-18	11/21/2019	The Administrator of FNS should take steps to improve its oversight of CACFP meals provided in adult day care centers. For example, FNS could amend its approach for determining federal onsite reviews of CACFP meal providers to more	Open

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consistently include adult day care centers.
(Recommendation 3)

FNS	Nutrition Assistance Programs: Agencies Could Do More to Help Address the Nutritional Needs of Older Adults	GAO-20-18	11/21/2019	The Administrator of FNS should take steps to better disseminate existing information that could help state and local entities involved in providing CACFP meals meet the varying nutritional needs of older adult participants, as well as continue to identify additional promising practices or other information on meal accommodations to share with CACFP entities. (Recommendation 5)	Open
Forest Service	Wildland Fire Risk Reduction: Multiple Factors Affect Federal- Nonfederal Collaboration, but Action Could Be Taken to Better Measure Progress	GAO-17-357	5/10/2017	To help determine the extent to which the goals of the Cohesive Strategy are being met, the Secretaries of Agriculture and the Interior should direct the Chief of the Forest Service and the Director of the Office of Wildland Fire, respectively, to work with WFLC to develop measures to assess national progress toward achieving the strategy's goals. Recommendation 1	Open
Forest Service	Forest Service: Improvements Needed in Internal Controls over Budget Execution Processes	GAO-18-56	1/31/2018	To improve internal controls over the Forest Service's budget execution processes, the Chief of the Forest Service should (1) revise its process and (2) design, document, and implement related control activities to reasonably assure that amounts designated in appropriations acts for specific purposes are properly used for the purposes specifically designated. (Recommendation 1)	Open
Forest Service	Forest Service: Improvements Needed in Internal Controls over Budget Execution Processes	GAO-18-56	1/31/2018	To improve internal controls over the Forest Service's budget execution processes, the Chief of the Forest Service should (1) develop a process and (2) design, document, and implement related control activities to reasonably assure that unobligated no-year funds from prior years are reviewed for continuing need. (Recommendation 2)	Open

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Forest Service	Forest Service: Improvements Needed in Internal Controls over Budget Execution Processes	GAO-18-56	1/31/2018	To improve internal controls over the Forest Service's budget execution processes, the Chief of the Forest Service should (1) design, document, and implement a comprehensive system for administrative control of funds and (2) submit it for review and approval by USDA before issuance, as required by the <i>USDA Budget Manual</i>. (Recommendation 3)	Open
Forest Service	Forest Service: Improvements Needed in Internal Controls over Budget Execution Processes	GAO-18-56	1/31/2018	To improve internal controls over the Forest Service's budget execution processes, the Chief of the Forest Service should design, document, and implement control activities over the preparation and approval of a fire suppression fund transfers strategy, to specify all appropriate factors to be considered in developing and documenting the strategy, and incorporate these control activities into the Directive System. (Recommendation 4)	Open
Forest Service	Forest Service: Improvements Needed in Internal Controls over Budget Execution Processes	GAO-18-56	1/31/2018	To improve internal controls over the Forest Service's budget execution processes, the Chief of the Forest Service should design, document, and implement processes and related control activities for its fire incident cooperative agreements to reasonably assure efficient and effective operations and timely and reliable reporting of reimbursable receivables related to fire incident cooperative agreements, and incorporate them in the Directive System. (Recommendation 5)	Open
Forest Service	Forest Service: Improvements Needed in Internal Controls over Budget Execution Processes	GAO-18-56	1/31/2018	To improve internal controls over the Forest Service's budget execution processes, the Chief of the Forest Service should update the reimbursable and advanced collection agreement (RACA) manuals and handbooks to adequately describe the processes and related control activities applicable to RACAs to reasonably assure that staff will know (1) how and when to perform processes and control	Open

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activities and (2) how to document their performance. (Recommendation 6)

Forest Service	Forest Service: Improvements Needed in Internal Controls over Budget Execution Processes	GAO-18-56	1/31/2018	To improve internal controls over the Forest Service's budget execution processes, the Chief of the Forest Service should design, document, and implement segregation of duties or mitigating control activities over reimbursable agreements and any adjustments affecting the final disposition of related receivables. (Recommendation 7)	Open
Forest Service	Forest Service: Improvements Needed in Internal Controls over Budget Execution Processes	GAO-18-56	1/31/2018	To improve internal controls over the Forest Service's budget execution processes, the Chief of the Forest Service should modify, document, and implement control activities consistent with USDA and Forest Service policy to reasonably assure that unliquidated obligations are reviewed timely and appropriate actions are taken. (Recommendation 8)	Open
Forest Service	Forest Service: Improvements Needed in Internal Controls over Budget Execution Processes	GAO-18-56	1/31/2018	To improve internal controls over the Forest Service's budget execution processes, the Chief of the Forest Service should adequately describe the processes and related control activities for unliquidated obligations review and certification processes in manuals and handbooks within the Directive System. (Recommendation 9)	Open
Forest Service	Forest Service: Improvements Needed in Internal Controls over Budget Execution Processes	GAO-18-56	1/31/2018	To improve internal controls over the Forest Service's budget execution processes, the Chief of the Forest Service should develop, document, and implement a process and related control activities to reasonably assure that manuals and handbooks for allotments, reimbursable agreements, and review of unliquidated obligations are reviewed and updated	Open

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every 5 years, consistent with Forest Service policy.
(Recommendation 10)

Forest Service	Forest Service: Improvements Needed in Internal Controls over Budget Execution Processes	GAO-18-56	1/31/2018	To improve internal controls over the Forest Service's budget execution processes, the Chief of the Forest Service should develop, document, and implement a process and related control activities to reasonably assure that standard operating procedures and desk guides (1) clearly refer to guidance in the Directive System for allotments, reimbursable agreements, and review of unliquidated obligations and (2) are reviewed and approved by responsible officials prior to use. (Recommendation 11)	Open
Forest Service	Federal Timber Sales: Forest Service and BLM Should Review Their Regulations and Policies Related to Timber Export and Substitution	GAO-18-593	8/15/2018	The Chief of the Forest Service should determine whether new regulations governing timber export and substitution are appropriate. If the agency determines new regulations are appropriate, it should issue them in accordance with the 1997 act, in consultation with BLM. Otherwise, the agency should seek legislative relief from the act's requirement. (Recommendation 1)	Open
Forest Service	Federal Timber Sales: Forest Service and BLM Should Review Their Regulations and Policies Related to Timber Export and Substitution	GAO-18-593	8/15/2018	The Chief of the Forest Service should review agency policies for continued relevance and effectiveness in addressing the risk of illegal timber export and substitution, and based on that review-- and in accordance with applicable regulations-- should issue new policies as necessary. (Recommendation 3)	Open
Forest Service	Federal Land Management Agencies: Additional Actions Needed to Address Facility	GAO-19-643	9/25/2019	The Chief of the Forest Service should develop a plan to conduct all required facility security assessments agency-wide, taking into consideration	Open

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	Security Assessment Requirements			the agency's organizational structure, available resources, and training needs. (Recommendation 2)	
Forest Service	Land and Water Conservation Fund Act: Forest Service Has Not Taken Steps to Ensure Compliance with Limitation on Land Acquisition	GAO-20-175R	12/5/2019	The Chief of the Forest Service should take steps to ensure compliance with the 100th meridian provision, such as developing an interpretation of the provision. (Recommendation 1)	Open
FSIS	Imported Seafood Safety: FDA and USDA Could Strengthen Efforts to Prevent Unsafe Drug Residues	GAO-17-443	9/15/2017	The Administrator of FSIS should require as part of an equivalence determination that countries exporting catfish to the United States include in their residue monitoring plans the drugs of concern to FSIS and the corresponding maximum residue levels. (Recommendation 3)	Open
FSIS	Imported Seafood Safety: FDA and USDA Could Strengthen Efforts to Prevent Unsafe Drug Residues	GAO-17-443	9/15/2017	The Administrator of FSIS should coordinate and communicate with FDA in developing drug residue testing methods and corresponding maximum residue levels for imported catfish that may also be applicable to other imported seafood. (Recommendation 5)	Open
FSIS	Workplace Safety and Health: Better Outreach, Collaboration, and Information Needed to Help Protect Workers at Meat and Poultry Plants	GAO-18-12	11/9/2017	The FSIS Administrator should work with OSHA to assess the implementation of the MOU and make any needed changes to ensure improved collaboration; and set specific timeframes for periodic evaluations of the MOU. (Recommendation 5)	Open
FSIS	Workplace Safety and Health: Better Outreach, Collaboration, and Information Needed to Help Protect Workers at Meat and Poultry Plants	GAO-18-12	11/9/2017	The FSIS Administrator should develop a process to regularly share the worker safety information it collects during its review of new chemicals with FSIS inspectors, plant management, OSHA, and the Centers for Disease Control and Prevention's National Institute for Occupational Safety and Health (NIOSH). (Recommendation 6)	Open

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FSIS	Food Safety: USDA Should Take Further Action to Reduce Pathogens in Meat and Poultry Products	GAO-18-272	3/19/2018	The Administrator of the Food Safety and Inspection Service (FSIS) should document the agency's process for deciding which products to consider for new pathogen standards, including the basis on which such decisions should be made. (Recommendation 1).	Open
FSIS	Food Safety: USDA Should Take Further Action to Reduce Pathogens in Meat and Poultry Products	GAO-18-272	3/19/2018	The Administrator of FSIS should set time frames for determining what pathogen standards or additional policies are needed to address pathogens in beef carcasses, ground beef, pork cuts, and ground pork. (Recommendation 2).	Open
FSIS	Food Safety: USDA Should Take Further Action to Reduce Pathogens in Meat and Poultry Products	GAO-18-272	3/19/2018	The Administrator of FSIS should include available information on the effectiveness of on-farm practices to reduce the level of pathogens as it finalizes its guidelines for controlling Salmonella in hogs. (Recommendation 3).	Open
RD	Tribal Consultation: Additional Federal Actions Needed for Infrastructure Projects	GAO-19-22	3/20/2019	The Assistant to the Secretary of Agriculture for Rural Development should document in the agency's tribal consultation policy how agency officials are to communicate with tribes about how tribal input from consultation was considered in agency decisions on proposed infrastructure projects. (Recommendation 6)	Open
RHS	Rural Housing Service: Additional Actions Would Help Ensure Reasonableness of Rental Assistance Estimates	GAO-17-725	9/13/2017	The Administrator of RHS should develop and implement a plan for ongoing monitoring, including testing and evaluation, of the obligation tool using relevant data. (Recommendation 1)	Open
RHS	Rural Housing Service: Additional Actions Would Help Ensure Reasonableness of Rental Assistance Estimates	GAO-17-725	9/13/2017	The Administrator of RHS should develop controls to check the reasonableness of rental assistance agreement amounts calculated by the obligation tool. (Recommendation 2)	Open
RHS	Rural Housing Service: Additional Actions Would Help Ensure Reasonableness of Rental Assistance Estimates	GAO-17-725	9/13/2017	The Administrator of RHS should develop controls to ensure that RHS uses the inflation rates from the President's economic assumptions in developing budget estimates. (Recommendation 3)	Open

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RHS	Rural Housing Service: Additional Actions Would Help Ensure Reasonableness of Rental Assistance Estimates	GAO-17-725	9/13/2017	The Administrator of RHS should provide guidance to Rural Development state offices that specifies that prior to obligating funds, staff are to review information related to a property's mortgage servicing status. (Recommendation 4)	Open
RHS	Rural Housing Service: Better Data Controls, Planning, and Additional Options Could Help Preserve Affordable Rental Units	GAO-18-285	5/17/2018	The RHS Administrator should establish additional controls to check the accuracy of all loan information entered into RHS information technology systems, to help ensure complete, accurate, and reliable data for estimating rural rental housing property exit dates. (Recommendation 1)	Open
RHS	Rural Housing Service: Better Data Controls, Planning, and Additional Options Could Help Preserve Affordable Rental Units	GAO-18-285	5/17/2018	The RHS Administrator should establish a process to help ensure regular and frequent updates for the preservation tool and its underlying data. (Recommendation 2)	Open
RHS	Rural Housing Service: Better Data Controls, Planning, and Additional Options Could Help Preserve Affordable Rental Units	GAO-18-285	5/17/2018	The RHS Administrator should establish performance goals and measures for its rural rental housing preservation and rehabilitation efforts and report out these outcomes. (Recommendation 3)	Open
RHS	Rural Housing Service: Better Data Controls, Planning, and Additional Options Could Help Preserve Affordable Rental Units	GAO-18-285	5/17/2018	The RHS Administrator should monitor the results of rural rental housing preservation efforts and assess the degree to which those efforts yielded intended outcomes. (Recommendation 4)	Open
RHS	Rural Housing Service: Better Data Controls, Planning, and Additional Options Could Help Preserve Affordable Rental Units	GAO-18-285	5/17/2018	The RHS Administrator should identify, analyze, and respond to risks to achieving its preservation goals, including resource and staffing limitations. (Recommendation 5)	Open
RMA	Crop Insurance: In Areas with Higher Crop Production Risks, Costs Are Greater, and Premiums May Not Cover Expected Losses	GAO-15-215	2/9/2015	To better inform Congress in the future about crop insurance program costs, reduce present costs, and ensure greater actuarial soundness, the Administrator of the U.S. Department of Agriculture's Risk Management Agency should monitor and report on crop insurance costs in areas that have higher crop production risks.	Open

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RMA	Crop Insurance: In Areas with Higher Crop Production Risks, Costs Are Greater, and Premiums May Not Cover Expected Losses	GAO-15-215	2/9/2015	To better inform Congress in the future about crop insurance program costs, reduce present costs, and ensure greater actuarial soundness, the Administrator of the U.S. Department of Agriculture's Risk Management Agency should, as appropriate, increase its adjustments of premium rates in areas with higher crop production risks by as much as the full 20 percent annually that is allowed by law.	Open
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